08-13555-mg Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit Exhibit A (part 2) to Diccianni Affidavit Pg 1 of 55

EXHIBIT A (PART 2)

14,152.08 2,056.25

CASH - CNB RSU-CN5

COMPENSATION REPORT CHARLES DICCIANNI April-06

PAYOUT COMPUTATION

1,116.40	15,091.93	16,208.33	
TOTAL PRODUCTION COMPENSATION	ADVANCE OF ACCTS NOT BILLED (APRIL PROD)	TOTAL	EARNINGS CODE/RSU BREAKDOWN:

Employee Name	Production Month	SU Amount
Diccianni, Charles	TOTAL SERVICE TRANSPORT	
	12/1/2005	\$ 1,623.39
	1/1/2006	\$ 1,165.13
	2/1/2006	\$ 2,773.54
	3/1/2006	\$ 1,558.60
	4/1/2006	\$ 2,056.25
Diccianni, Charles Total		\$ 9,176.91

900
05/15/20
Date:

Pct Total PO Comm Quarterly Monthly PO Fee Amt Byout Comm Amt Fee Fee Amt PO Fee Byout Comm Amt Amt Fee Fee Amt Byout \$0.00 \$0.00 \$341.24 \$34.12 \$34.12 Byout \$0.00 \$0.00 \$340.74 \$340.74 \$34.12 Byout \$0.00 \$0.00 \$340.74 \$340.74 \$34.12 Byout \$0.00 \$0.00 \$421.36 \$401.30 \$401.30 Byout \$0.00 \$421.36 \$421.36 \$421.44 \$421.44 Byout \$0.00 \$421.36 \$421.36 \$421.44 \$421.44 Byout \$0.00 \$50.00 \$447.34 \$447.34 \$447.34 Byout \$0.00 \$50.00 \$447.34 \$447.34 \$447.34 Byout \$0.00 \$50.00 \$447.34 \$447.34 \$447.34 Byout \$0.0	el e	nsat	Compensation Report	티		Z	NEUBERGER	ER BERMAN	Z	
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\$0.00 \$7,193.43 \$7,193.43 \$1,116.40	Reg Rep Total:	豆		\$0.00	\$0.00	\$5,289.73	\$5,289.73	\$926.03	\$926.03	Exhi
	Marketer Total:			\$0.00	\$0.00	\$7,193.43	\$7,193.43	\$1,116.40	\$1,116.40	bit

PAUL M GAGLIARDI REVOC TRUST F/B/O STEPHEN P CHERNOCK JR

FRANK R MATTHEWS

CSC ASSOCIATES LIMITED JBC ASSOCIATES LIMITED

777 20014

288 APPEL NEW YORK

DICCIANNI, CHARLES

Account

Marketer Reg Customer Name Rep Account

Name

BARBARA R GOODMAN MARITAL TF

CSC ASSOCIATES LIMITED JBC ASSOCIATES LIMITED

390 STEIN MICHELLE NY

777 00362

CATHRYN M HOLLINGER

777 00445 777 00446 777 00470 777 00563 777 00580 777 20015 777 20016 777 53486

BARBARA R GOODMAN CREDIT

JOHN E CONELIAS

AWA FUND

JOHN BLATZ

F/B/O STEPHEN P CHERNOCK JR

FRANK R MATTHEWS PAUL M GAGLIARDI

14,039.14 2,036.32

CASH - CNB RSU - CN5

COMPENSATION REPORT CHARLES DICCIANNI May-06

COMPUTATION
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PAYOUT (
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31,167.39	(15,091.93)	16,075.46	
TOTAL PRODUCTION COMPENSATION	ADVANCE OF ACCTS NOT BILLED (APRIL PROD)	TOTAL	EARNINGS CODE/RSU BREAKDOWN:

Employee Name	Production Month	RSŨ Amount
Diccianni, Charles		x.= e .amount
	12/1/2005	\$ 1,623.39
	1/1/2006	\$ 1,165.13
	2/1/2006	\$ 2,773.54
	3/1/2006	\$ 1,558.60
	4/1/2006	\$ 2,056.25
	5/1/2006	\$ 2,036.32
Diccianni, Charles Tota		\$ 11,213.23

								onto End	Month End : May-2006	위	135
Marketer Reg Customer Name Rep Account	er Account t Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly	PO Fee Amt	Total	55-mg
DICCIANNI, CHARLES)
223 SCHWA	SCHWARTZ NEW YORK										
777 11007	STAMFORD FIREFIGHTERS TRUST 05/01/2003	05/01/2003	66	10.00%	\$0.00	\$0.00	\$3,139.18	\$3,139.18	\$313.92	\$313.92	227 hibit
		Reg Re		p Total:	\$0.00	\$0.00	\$3,139.18	\$3.139.18	\$313.92	\$343.92	
251 FIXED II	FIXED INCOME/NY										'-2 (pa
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	66	10.00%	\$0.00	\$0.00	\$1,378.54	\$1,378.54	\$137.85	\$137.85	
777 00418	MERIDEN POLICE & FIRE	02/28/2005	66	10.00%	\$0.00	\$0.00	\$1,684.96	\$1,684,96	\$168.50	\$168.50	
777 00685	MILFORD HOSPITAL PENSION PLAN 01/25/2006	N 01/25/2006	5	20.00%	\$0.00	\$0.00	\$7,150.12	\$7,150,12	\$1.430.02	\$1 430 02	
777 11009	STAMFORD FIREFIGHTERS PENSIO 05/01/2003	05/01/2003	66	7.50%	\$0.00	\$0.00	\$13,323.96	\$13,323.96	\$999.30	\$999.30	/29/ cciai
		Reg Re	Rep To	p Total:	\$0.00	\$0.00	\$23,537.58	\$23.537.58	\$2 735 67	42 735 G7	
288 APPEL P	APPEL NEW YORK										
777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	66	10.00%	80.00	\$ 0 00	\$27 078 32	£27 078 22	60 707 60	1	
777 00224	CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004	. 07/27/2004	66	10.00%	\$0.00	\$0.00		\$73,616.40	\$7.361.64	\$2,707.63 \$7.364.64	
777 00365	JBC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00		\$341.24	\$34.12	\$34.12	11 P(
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$340.74	\$340.74	\$34.07	\$34.07	
777 00680	MILFORD HOSPITAL PENSION PLAN 01/31/2006	01/31/2006	2	30.00%	\$0.00	\$0.00		\$18.419.08	\$5.525.72	\$5 525 72	/11 of !
777 00682	MILFORD HOSPITAL PENSION PLAN 02/03/2006	02/03/2006	2	30.00%	\$0.00	\$0.00		\$4,729.80	\$1,418.94	\$1.418.94	
777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	66	10.00%	\$0.00	\$0.00	\$401.30	\$401.30	\$40.13	\$40.13	:27
777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	66	10.00%	\$0.00	\$0.00	\$399.06	\$399.06	\$39.91	\$39.91	:53
777 53485	FRANK R MATTHEWS	10/03/2003	66	10.00%	\$0.00	\$0.00	\$421.36	\$421.36	\$42.14	\$42.14	E
390 STEIN M	STEIN MICHEL E NY	Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$125,747.30 \$125,747.30		\$17,204.50	\$17,204.50	xhibi
7	JBC ASSOCIATES LIMITED	01/20/2005	66	10.00%	\$0.00	\$0.00	\$339.96	\$339.96	\$34.00	\$34.00	it
777 00367	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$342.55	\$342.55	\$34.26	\$34.26	
			Page 1 of 3	of 3				Run Date:	e: 06/20/2006	900	

Compensation Report

06/20/2006
Run Date:

Exhibit

\$1,025.61

\$1,025.61

\$3,418.70

\$3,418.70

\$0.00

\$0.00

Reg Rep Total:

\$3,579.42

\$3,579.42

\$35,794.20 \$35,794.20

\$0.00

\$0.00

10.00%

66

01/19/2005

B73 INTERNATIONAL EQUITY / NEW YOR

CITY OF BRISTOL GENERAL

777 00378

PA12			Compe	ensat	nsation Report	빌		Z	NEUBERGER	ER BERMAN	Z	
								M	onth End	Month End : May-2006	O I	08-135
Marketer Reg Customer Name Rep Account	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total	PO Comm Amt	Quarterly	Monthly	PO Fee	Total	555-m
DICCIANNI, CHARLES	ARLES											g
390 8	STEIN M	STEIN MICHELLE NY										Doc Ex
77	777 00414	CATHRYN M HOLLINGER	02/28/2005	66	10.00%	\$0.00	\$0.00	\$473.43	\$473.43	\$47.34	\$47.34	: 22 hibi
77	777 00445	BARBARA R GOODMAN MARITAL TF 04/05/2005	04/05/2005	66	10.00%	\$0.00	\$0.00	\$145.94	\$145.94	\$14.59		
77	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	66	10.00%	\$0.00	\$0.00	\$512.36	\$512.36	\$51.24	٠.	7-2 (pa
77	777 00470	AWA FUND	04/19/2005	66	10.00%	\$0.00	\$0.00	\$672.35	\$672.35	\$67.24		
77	777 00563	JOHN E CONELIAS	08/11/2005	2	30.00%	\$0.00	\$0.00	\$650.71	\$650.71	\$195.21	,	File 2) to
77	777 00580	JOHN BLATZ	09/07/2005	2	30.00%	\$0.00	\$0.00	\$662.24	\$662.24	\$198.67		
77	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	5	30.00%	\$0.00	\$0.00	\$661.12	\$661.12	\$198.34		
77.	777 00646	MASTER PEN PLAN FOR SALARIED 11/22/2005	11/22/2005	2	30.00%	\$0.00	\$0.00	\$1.165.42	\$1.165.42	\$349.63		
.77	777 00646	MASTER PEN PLAN FOR SALARIED		×		\$0.00	\$0.00	00.08	00 05	\$750.00		
777	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	66	10.00%	\$0.00	\$0.00	\$407.20	\$407.20	\$40.72		
.77	777 20016	PAUL M GAGLIARDI	02/10/2004	66	10.00%	\$0.00	\$0.00	\$631.18	\$631.18	\$63.12		ntero davi
177	777 53486	FRANK R MATTHEWS	10/02/2003	66	10.00%	\$0.00	\$0.00	\$451.81	\$451.81	\$45.18		
			Reg	g Rep Total:	otal:	\$0.00	\$0.00	\$7,116.27	\$7,116.27	\$2.089.54	\$2.089.54	.1/2 >g {
853 K	AMEN	KAMEN NEW YORK										
177	777 11007	STAMFORD FIREFIGHTERS TRUST	05/01/2003	66	10.00%	\$0.00	\$0.00	\$9,417.55	\$9,417.55	\$941.76	\$941.76	.1 11 f 55
			Reg	Rep Total:	otal:	\$0.00	\$0.00	\$9,417.55	\$9.417.55	\$941.76	\$044 7£	:27
881 M	M KAMINSKY /NY	SKY /NY										7:53
521	521 01163 F	F/B/O EDDIE SITT	08/29/2005	10	30.00%	\$0.00	\$0.00	\$3,418.70	\$3,418.70	\$1,025.61	\$1,025.61	3 E
			1									Ξ)

08-135	Total Payout	Doc Exh	227 ibit \$5,809,5	'17-2 A (pa 96:281'9\$	Fil (12) 13.62 14.62	to [11/29 Dicci \$7.899	9/11 ann 66:791,16\$	Entered 11/29/11 11:27:53 Exhibit i Affidavit Pg 9 of 55	
<u>v-2006</u>	PO Fee Amt P	<u>#</u>	\$2,608.54 \$2,	\$6,187.96 \$6,	\$79.13	69	\$668.43 \$			0000,00,00
Month End : May-2006	PO					67		.20 \$31,167.39		
Month E	Mon		.14 \$8,695.14	.34 \$44,489.34	.26 \$791.26	02 \$5,893.02	28 \$6,684.28	\$223,550.20 \$223,550.20		0
	Quarterly Fee		\$8,695.14	\$44,489.34	\$791.26	\$5,893.02	\$6,684.28	\$223,550.		
	PO Comm Amt		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
	Total		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
	Pct Payout		30.00%	rotal:	10.00%	10.00%	otal:			O+ 35
	Date Tier		6 01	Reg Rep Total:	99	4 99	Reg Rep Total:	r Total:		Page 3 of 3

Compensation Report

PA12

Start Date

Account Name

Marketer Reg Customer Name Rep Account

DICCIANNI, CHARLES

07/01/2004 07/01/2004

PAMAS MUTUAL FUNDS / NEW YOR! 777 00019 WHITNEY CENTER INC ESCROW 777 00212 WHITNEY CENTER INVESTMENT

193

Marketer Total:

777 00683 MILFORD HOSPITAL PENSION PLAN 01/25/2006

B73 INTERNATIONAL EQUITY / NEW YOR

BERNAN NEUBERGER

COMPENSATION REPORT **CHARLES DICCIANNI** June-06

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION

18,780.10

TOTAL

18,780.10

16,963.09 1,817.01

EARNINGS CODE/RSU BREAKDOWN:

CASH - CNB RSU-CN5 08-13555-mg Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit Exhibit A (part 2) to Diccianni Affidavit Pg 11 of 55

YTD RSU STATEMENT

Employee Name	Production Month	RSU Amount
Diccianni, Charles		
	12/1/2005	\$ 1,623.39
	1/1/2006	\$ 1,165.13
	2/1/2006	\$ 2,773.54
	3/1/2006	\$ 1,558.60
	4/1/2006	\$ 2,056.25
	5/1/2006	\$ 2,036.32
	6/1/2006	\$ 1,817.01
Diccianni, Charles Total		\$ 13,030.24

							X	onth End	Month End : Jun-2006	(0)	8-135
Marketer Reg Customer Name Rep Account	er Account t Name	Stort Date	12		Total	PO Comm Amt	Quarterly Fee	Monthly	PO Fee Amt	Total	55-m
DICCIANNI, CHARLES			and passed	ļ							g
223 SCHW	SCHWARTZ NEW YORK										
777 11007	STAMFORD FIREFIGHTERS TRUST 05/01/2003	F 05/01/2003	66	10.00%	\$0.00	\$0.00	\$6,278.37	\$6,278.37	\$627.64	#8.77.8 4	c 22 [°] nibit
		Reg	Reg Rep Total:	otal:	\$0.00	\$0.00	\$6,278.37	\$6,278.37	\$627.84	\$627.84	
251 FIXED I	FIXED INCOME/NY										'-2 par
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	66	10.00%	\$0.00	\$0.00	\$689.27	\$689.27	\$68.93	868.93	
777 00418	MERIDEN POLICE & FIRE	02/28/2005	8	10.00%	\$0.00	\$0.00	\$842.48	\$842.48	\$84.25		
777 00685	MILFORD HOSPITAL PENSION PLAN 01/25/2006	N 01/25/2006	2	20.00%	\$0.00	\$0.00	\$3,575.06	\$3,575.06	\$715.01		
777 11009	STAMFORD FIREFIGHTERS PENSIC 05/01/2003	05/01/2003	66	7.50%	\$0.00	\$0.00	\$6,661.98	\$6,661.98	\$499.65		/29/ cian
		Reg Re	Rep Total:	tal:	\$0.00	\$0.00	\$11,768.79	\$11,768.79	\$1.367.84	\$1.367.84	
288 APPEL I	APPEL NEW YORK										
550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	2	30.00%	\$0.00	\$0.00	\$6,363.57	\$6,363.57	\$1.909.07	\$1 909 07	Ente dav
777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	66	10.00%	\$0.00	\$0.00	\$13,539.16	\$13,539.16	\$1,353.92		
777 00224	CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004	. 07/27/2004	66	10.00%	\$0.00	\$0.00	\$36,808.20	\$36,808.20	\$3,680.82		11 Pa
777 00365	JBC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$341.24	\$341.24	\$34.12		/29 12
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$340.74	\$340.74	\$34.07		/11 of
777 00680	MILFORD HOSPITAL PENSION PLAN 01/31/2006	01/31/2006	5	30.00%	\$0.00	\$0.00	\$9,209.54	\$9,209.54	\$2,762.86		11 55
777 00647	MILFORD HOSPITAL PENSION PLAN 02/03/2006	02/03/2006	2	30.00%	\$0.00	\$0.00	\$2,364.90	\$2,364.90	\$709.47	\$709.47	:27
777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	66	10.00%	\$0.00	\$0.00	\$401.30	\$401.30	\$40.13	\$40.13	:53
1102 111	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	66	10.00%	\$0.00	\$0.00	\$399.06	\$399.06	\$39.91	\$39.91	
117 53485	FRANK R MATTHEWS	10/03/2003	66	10.00%	\$0.00	\$0.00	\$421.36	\$421.36	\$42.14	\$42.14	Exh
390 STEIN M	STEIN MICHEL E NIV	Reg R	Reg Rep Total:	:iai	\$0.00	\$0.00	\$70,189.07	\$70,189.07	\$10,606.51	\$10,606.51	ibit
1	JBC ASSOCIATES LIMITED	01/20/2005	6	10.00%	\$0.00	\$0.00	\$339.96	\$339.96	\$34.00	\$34.00	
		ď	Pane 1 of 3	f 3				Run Date:	P. 07/13/2006	90	

NEUBERGER BERMAN

Compensation Report

PA12

							Ä	onth End	Month End : Jun-2006	וסו	-135
Marketer Reg Customer Name Rep Account	er Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total	55-m
DICCIANNI, CHARLES										-	g
223 SCHWA	SCHWARTZ NEW YORK									_^	
777 11007	STAMFORD FIREFIGHTERS TRUST 05/01/2003	05/01/2003	66	10.00%	\$0.00	\$0.00	\$6,278.37	\$6,278.37	\$627.84	\$627.84	c 22 hibit
		Reg Re	Rep T	ep Total:	\$0.00	\$0.00	\$6,278.37	\$6.278.37	\$627.84	\$627.84	
251 FIXED IN	FIXED INCOME/NY										
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	66	10.00%	\$0.00	\$0.00	\$689.27	\$689.27	\$68.93	208.93	
777 00418	MERIDEN POLICE & FIRE	02/28/2005	66	10.00%	\$0.00	\$0.00	\$842.48	\$842.48	\$84.25		
777 00685	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	5	20.00%	\$0.00	\$0.00	\$3,575.06	\$3,575.06	\$715.01		
777 11009	STAMFORD FIREFIGHTERS PENSIO 05/01/2003	05/01/2003	66	7.50%	\$0.00	\$0.00	\$6,661.98	\$6,661.98	\$499.65		/29/ ciar
		Reg Re	Rep To	p Total:	\$0.00	\$0.00	\$11,768.79	\$11,768.79	\$1.367.84	\$1.367.84	
288 APPEL N	APPEL NEW YORK										
550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	5	30.00%	\$0.00	\$0.00	\$6,363.57	\$6.363.57	\$1,909,07	70 000 13	Ente
777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	6	10.00%	\$0.00	\$0.00	\$13,539.16	\$13,539.16	\$1.353.92		
777 00224	CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004	07/27/2004	66	10.00%	\$0.00	\$0.00	\$36,808.20	\$36,808.20	\$3,680.82		l 11 Pg
777 00365	JBC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$341.24	\$341.24	\$34.12		
9980 ///	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$340.74	\$340.74	\$34.07		/11 of
0890 ///	MILFORD HOSPITAL PENSION PLAN 01/31/2006	01/31/2006	5	30.00%	\$0.00	\$0.00	\$9,209.54	\$9,209.54	\$2,762.86		
777 00682	MILFORD HOSPITAL PENSION PLAN 02/03/2006	02/03/2006	5	30.00%	\$0.00	\$0.00	\$2,364.90	\$2,364.90	\$709.47	\$709.47	:27
777 20014		02/06/2004	66	10.00%	\$0.00	\$0.00	\$401.30	\$401.30	\$40.13	\$40.13	:53
717 20017	EVOC TRUST	02/12/2004	8	10.00%	\$0.00	\$0.00	\$399.06	\$399.06	\$39.91	\$39.91	
777 53485	FRANK R MATTHEWS	10/03/2003	66	10.00%	\$0.00	\$0.00	\$421.36	\$421.36	\$42.14	\$42.14	Exh
390 STEIN MI	STEIN MICHELLE NY	Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$70,189.07	\$70,189.07	\$10,606.51	\$10,606.51	ibit
777 00362	JBC ASSOCIATES LIMITED	01/20/2005	66	10.00%	\$0.00	\$0.00	\$339.96	\$339.96	\$34.00	\$34.00	
		a.	Page 1 of 3	of 3				Run Date:	e: 07/13/2006	900	

Compensation Report

PA12

								W	onth End	Month End : Jun-2006	91	-135
e Re	ster Reg Customer e Rep Account	Account Name	Start Date	Tier	Pct Payout	Total	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total	55-m
ANNI,	ANNI, CHARLES											g
390		STEIN MICHELLE NY										Doo Exh
	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$342.55	\$342 55	90 PE\$	90 763	
	777 00414	CATHRYN M HOLLINGER	02/28/2005	66	10.00%	\$0.00	\$0.00	\$473.43	\$473.43	\$47.34	\$47.34	
	777 00445	BARBARA R GOODMAN MARITAL TF 04/05/2005	04/05/2005	66	10.00%	\$0.00	\$0.00	\$145.94	\$145.94	\$14.59	\$14.59	7-2 (pa
		BARBARA R GOODMAN CREDIT	04/05/2005	66	10.00%	\$0.00	\$0.00	\$512.36	\$512.36	\$51.24	\$51.24	
	777 00470	AWA FUND	04/19/2005	66	10.00%	\$0.00	\$0.00	\$672.35	\$672.35	\$67.24	\$67.24	
	777 00563	JOHN E CONELIAS	08/11/2005	5	30.00%	\$0.00	\$0.00	\$650.71	\$650.71	\$195.21	\$195.21	
	777 00580	JOHN BLATZ	09/07/2005	10	30.00%	\$0.00	\$0.00	\$662.24	\$662.24	\$198.67	\$198.67	1/29 ccia
		MASTER PEN PLAN FOR HOURLY	11/22/2005	2	30.00%	\$0.00	\$0.00	\$330.56	\$330.56	\$99.17	\$99.17	
		MASTER PEN PLAN FOR SALARIED	11/22/2005	2	30.00%	\$0.00	\$0.00	\$582.71	\$582.71	\$174.81	\$174.81	
		F/B/O STEPHEN P CHERNOCK JR	02/05/2004	66	10.00%	\$0.00	\$0.00	\$407.20	\$407.20	\$40.72	\$40.72	
		PAUL M GAGLIARDI	02/10/2004	66	10.00%	\$0.00	\$0.00	\$631.18	\$631.18	\$63.12	\$63.12	tere avit
	777 53486 F	FRANK R MATTHEWS	10/02/2003	66	10.00%	\$0.00	\$0.00	\$451.81	\$451.81	\$45.18	\$45.18	ed 1 F
Š			Reg R	Reg Rep Total:	al:	\$0.00	\$0.00	\$6,203.00	\$6,203.00	\$1,065.55	\$1,065.55	L1/29 Pg 14
881		KY /NY										9/1 4 o
	521 01163 F.	F/B/O EDDIE SITT	08/29/2005	2	30.00%	\$0.00	\$0.00	\$1,709.35	\$1,709.35	\$512.80	\$512.80	1 11 f 55
A07		ROBERT J. APPEI .NAT	Reg R	Reg Rep Total:	a]:	\$0.00	\$0.00	\$1,709.35	\$1,709.35	\$512.80	\$512.80	L:27:5
	555 02151	MILFORD HOSPITAL INC	09/07/2001	99	10.00%	\$0.00	\$0.00	\$780.91	\$780.91	\$78.09	\$78.09	53 I
			Reg R	Reg Rep Total:	<u>=</u>	\$0.00	\$0.00	\$780.91	\$780.91	\$78.09	\$78.09	Exhi
B73		INTERNATIONAL EQUITY / NEW YOR										ibit
	IM F6120 ccc				10.00%	\$0.00	\$0.00	\$10,932.80 \$	\$10,932.80	\$1,093.28	\$1,093.28	
		OIT OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$17,897.10	\$17,897.10	\$1,789.71	\$1,789.71	
			Page	ge 2 of 3	5				Run Date:	e: 07/13/2006	900	

NEUBERGER BERMAN

Compensation Report

PA12

Marketer Reg Customer Name Rep Account

DICCIANNI, CHARLES

17-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit (part 2) to Diccianni Affidavit Pg 1337:74
29 to Diccianni Affidavit Pg 15 of 55 \$39.76\$ \$334.51 \$13.4.51 \$2.86.89
\$297.65 \$334.21 \$334.21 \$334.21 \$334.21 \$18,780.10 \$18,780.10 \$18,780.10 \$18,780.10
s334.21 \$13.4.21 Pg 15 of 55
anni Affidavit Pg 15 of 55
Affidavit Pg 15 of 55
EXIIIDIL

NEUBERGER BERMAN

Month End: Jun-2006

08-13555-mg

Total Payout

PO Fee Amt

Quarterly Monthly

Total PO Comm

Comm

Payout

Pct

Tier

Start Date

Account Name

Marketer Reg Customer Name Rep Account

DICCIANNI, CHARLES

\$0.00

30.00%

2

777 00683 MILFORD HOSPITAL PENSION PLAN 01/25/2006

B73 INTERNATIONAL EQUITY / NEW YOR

\$0.00

Reg Rep Total:

\$0.00 \$0.00 \$0.00

10.00%

66 66

07/01/2004 07/01/2004

193 PAMAS MUTUAL FUNDS / NEW YOR! 777 00019 WHITNEY CENTER INC ESCROW 777 00212 WHITNEY CENTER INVESTMENT

10.00%

Reg Rep Total:

Marketer Total:

\$0.00

PA12

Page 3 of 3

COMPENSATION REPORT CHARLES DICCIANNI July-06

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION

15,499.96

15,499.96

CASH - CNB RSU-CN5

EARNINGS CODE/RSU BREAKDOWN:

14,174.97

K:\FIN\RRF-Commission\M Musico\EXCEL FILES\INDIVIDUAL ASSET MGMT\2004 IAM\03-2004 IAM\03-2004 IAM GOAL.xls

Employee Name	Production Month	RSU Amount
Diccianni, Charles		
	12/1/2005	\$ 1,623.39
	1/1/2006	\$ 1,165.13
	2/1/2006	\$ 2,773.54
	3/1/2006	\$ 1,558.60
	4/1/2006	\$ 2,056.25
	5/1/2006	\$ 2,036.32
	6/1/2006	\$ 1,817.01
	7/1/2006	\$ 1,324.99
Diccianni, Charles Tota		\$ 14,355.23

							W	onth End	Month End : Jul-2006		08-135
Marketer Reg Customer Name Rep Account	r Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	55-mg
DICCIANNI, CHARLES) [
251 FIXED IN	FIXED INCOME/NY										
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	66	10.00%	\$0.00	\$0.00	\$688.88	\$688.88	\$68.89	\$68.89	22 ibit
777 00418	MERIDEN POLICE & FIRE	02/28/2005	66	10.00%	\$0.00	\$0.00	\$842.25	\$842.25	\$84.23		
777 00685	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	2	20.00%	\$0.00	\$0.00	\$3,575.43	\$3,575.43	\$715.09		7-2 (par
		Reg Re	Rep To	p Total:	\$0.00	\$0.00	\$5,106.56	\$5,106.56	\$868.21	\$868.21	
288 APPEL N	APPEL NEW YORK										
550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	٤	30.00%	\$0.00	\$0.00	\$2.079.94	\$2.079.94	\$623.98	\$623.98	
555 10968	EDWARD SAAD AND	06/23/2006	٤	30.00%	\$0.00	\$0.00	\$64.89	\$64.89	\$19.47		/29/ ciar
555 10968	EDWARD SAAD AND	06/23/2006	2	30.00%	\$0.00	\$0.00	\$246.80	\$246.80	\$74.04		
777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	66	10.00%	\$0.00	\$0.00	\$13,217.29	\$13,217.29	\$1,321.73		
777 00224	CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004	07/27/2004	66	10.00%	\$0.00	\$0.00	\$36,119.51	\$36,119.51	\$3,611.95		
777 00365	JBC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$341.97	\$341.97	\$34.20		
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	8	10.00%	\$0.00	\$0.00	\$341.46	\$341.46	\$34.15		d 1:
777 00680	MILFORD HOSPITAL PENSION PLAN 01/31/2006	01/31/2006	٤	30.00%	\$0.00	\$0.00	\$8,875.07	\$8,875.07	\$2,662.52		
777 00682	MILFORD HOSPITAL PENSION PLAN 02/03/2006	02/03/2006	5	30.00%	\$0.00	\$0.00	\$2,316.49	\$2,316.49	\$694.95		
777 20014		02/06/2004	66	10.00%	\$0.00	\$0.00	\$395.86	\$395.86	\$39.59		1 1: 55
777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	66	10.00%	\$0.00	\$0.00	\$395.59	\$395.59	\$39.56		
777 53485	FRANK R MATTHEWS	10/03/2003	66	10.00%	\$0.00	\$0.00	\$411.54	\$411.54	\$41.15	\$41.15	7:53
		Reg F	Reg Rep Total:	tal:	\$0.00	\$0.00	\$64,806.41	\$64,806.41	\$9,197.29	\$9,197.29	3
390 STEIN MIG	STEIN MICHELLE NY										Exh
		01/20/2005	66	10.00%	\$0.00	\$0.00	\$342.20	\$342.20	\$34.22	\$34.22	nibit
	Ω	01/19/2005	8	10.00%	\$0.00	\$0.00	\$345.43	\$345.43	\$34.54	\$34.54	
	CATHRYN M HOLLINGER	02/28/2005	66	10.00%	\$0.00	\$0.00	\$474.33	\$474.33	\$47.43	\$47.43	
777 00445	BARBARA R GOODMAN MARITAL TF 04/05/2005	04/05/2005	66	10.00%	\$0.00	\$0.00	\$142.43	\$142.43	\$14.24	\$14.24	
		d .	Page 1 of 3	of 3				Run Date:	e: 08/09/2006	900	i

Compensation Report

Exhibit

Page 2 of 3

\$67.52 \$195.83 \$99.62 Total \$48.62 \$198.64 \$271.78 \$41.16 \$63.66 \$45.57 \$53.15 \$31.72 \$1,162.86 \$203.56 \$10.50 Payou \$121.60 \$40.34 \$460.87 \$391.02 \$1,787.37 Amt PO Fee \$48.62 \$67.52 \$195.83 \$99.65 \$198.64 \$41.16 \$271.78 \$63.66 \$45.57 \$53.15 \$203.56 \$31.72 \$1,162.86 \$121.60 \$10.50 \$40.34 \$460.87 \$391.02 \$1,787.37 Fee Monthly \$675.19 \$652.77 \$332.17 \$905.94 \$636.55 \$486.22 \$662.13 \$411.64 \$455.69 \$6,522.69 \$678.52 \$405.35 \$34.99 \$105.74 \$134.47 \$177.17 \$1,536.24 \$3,910.20 \$17,873.68 \$17,873.68 Quarterly \$486.22 \$675.19 \$662.13 \$332.17 \$905.94 \$636.55 \$652.77 \$411.64 \$6,522.69 \$177.17 \$678.52 \$105.74 \$405.35 \$34.99 \$134.47 \$3,910.20 \$455.69 \$1,536.24 Amt \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 PO Comm \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 Total Comm \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 Payout 10.00% 10.00% 30.00% 30.00% 30.00% Pct 30.00% 10.00% 10.00% 10.00% 30.00% 30.00% 30.00% 30.00% 30.00% 30.00% 10.00% 10.00% Reg Rep Total: Reg Rep Total: Tier 66 5 5 66 66 9 5 5 5 2 2 5 2 8 66 Start Date 04/05/2005 04/19/2005 08/11/2005 09/07/2005 11/22/2005 11/22/2005 02/05/2004 02/10/2004 10/02/2003 06/23/2006 06/23/2006 06/23/2006 06/23/2006 WMBRH-HOME HEALTH EDUCATIO! 06/23/2006 WMBRH-HOME HEALTH EDUCATION 06/23/2006 01/19/2005 09/07/2001 MASTER PEN PLAN FOR SALARIED WMBRH-KENNEDY DUNCAN FUND WMBRH-KENNEDY DUNCAN FUND MASTER PEN PLAN FOR HOURLY F/B/O STEPHEN P CHERNOCK JR B73 INTERNATIONAL EQUITY / NEW YOR BARBARA R GOODMAN CREDIT CITY OF BRISTOL GENERAL WMBRH-STURGIS FUND WMBRH-STURGIS FUND MILFORD HOSPITAL INC FRANK R MATTHEWS PAUL M GAGLIARDI JOHN E CONELIAS Account STEIN MICHELLE NY **BOLTON NEW YORK** Name JOHN BLATZ **AWA FUND** Marketer Reg Customer 777 00446 550 02168 Rep Account DICCIANNI, CHARLES 777 00470 777 00563 777 00580 777 00645 777 00646 777 20015 777 20016 777 53486 550 02168 550 02175 555 02151 550 02175 550 02176 550 02176 777 00378 390 831 Name

NEUBERGER BERMAN

Compensation Report

Month End: Jul-2006

A							W W	onth End	Month End : Jul-2006		1355
Account		Start Date	Tier	Pct Payout	Total	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	5-mg
											D _f
IN LEKNATIONAL EQUITY / NEW YOR 777 00683 MILFORD HOSPITAL PENSION PLAN 01/25/2006	EW YOR NSION PLAN	01/25/2006	2	30.00%	\$0.00	\$0.00	\$4,335.30	\$4,335.30	\$1,300.59	\$1.300.59	oc 22 xhibit
		Reg	Reg Rep Total:	ital:	\$0.00	\$0.00	\$26,119.18	\$26,119.18	\$3 478 98		717 A (i
PAMAS MUTUAL FUNDS / NEW YOR!	EW YOR										-2 oari
WHITNEY CENTER INC ESCROW	SCROW	07/01/2004	66	10.00%	\$0.00	\$0.00	\$392.70	\$392.70	\$39.27	\$39.27	Fil
WHITNEY CENTER INVESTMENT	STMENT	07/01/2004	66	10.00%	\$0.00	\$0.00	\$2,924.75	\$2,924.75	\$292.48		ed to F
		Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$3,317.45	\$3,317.45	\$331.75		11/2 Dicc
		Marketer Total:	<u></u>		\$0.00	\$0.00	\$107,408.53 \$107,408.53		\$15,499.96	\$15,499.96	29/11 ianni
											Entered 11/29/11 11:27 Affidavit Pg 20 of 55
											:53 Exhibit

Run Date: 08/09/2006

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B73 INTERNATIONAL EQUITY /

Marketer Reg Customer Name Rep Account

DICCIANNI, CHARLES

193 PAMAS MUTUAL FUNDS /

08-

NEUBERGER BERMAN

Compensation Report

COMPENSATION REPORT CHARLES DICCIANNI August-06

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION

EARNINGS CODE/RSU BREAKDOWN:

TOTAL

CASH-CNB RSU-CN5

24,145.39 3,084.48

27,229.87

27,229.87

K:\FIN\RRF-Commission\M Musico\EXCEL FILES\INDIVIDUAL ASSET MGMT\2004 IAM\03-2004 IAM\03-2004 IAM GOAL.x\s

Employee Name	Production Month		RSU Amount
Diccianni, Charles		146	21-10-1-1-1
	12/1/2005	\$	1,623.39
	1/1/2006	\$	1,165.13
	2/1/2006	\$	2,773.54
	3/1/2006	\$	1,558.60
	4/1/2006	\$	2,056.25
	5/1/2006	\$	2,036.32
#	6/1/2006	\$	1,817.01
	7/1/2006	\$	1,324.99
	8/1/2006	\$	3,084.48
Diccianni, Charles Total		\$	17,439.71

PA12		Compe	nsati	Compensation Report	빌		2	NEUBERGER	SR BERMAN	Z	
							M	onth End	Month End : Aug-2006	(0)	08-13
											355
Marketer Reg Customer Name Rep Account	er Account : Name	Start Date	Tier	Pct Payout	Total I Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	5-mg
DICCIANNI, CHARLES											
223 SCHWA	SCHWARTZ NEW YORK										oc xhi
1777 00874	CITY OF STAMFORD FIREMEN	05/01/2003	66	10.00%	\$0.00	\$0.00	\$31,665.20	\$31,665.20	\$3,166.52	\$3,166.52	
777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	5	30.00%	\$0.00	\$0.00	\$21,776.60	\$21,776.60	\$6,532.98	\$6,532.98	717- A (r
		Reg Re	Rep Total:	otal:	\$0.00	\$0.00	\$53,441.80	\$53,441.80	\$9,699.50	\$9,699.50	-2 oart
251 FIXED II	FIXED INCOME/NY									_,	
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	66	10.00%	\$0.00	\$0.00	\$688.88	\$688.88	\$68.89	\$68.89	ed :
777 00418	MERIDEN POLICE & FIRE	02/28/2005	66	10.00%	\$0.00	\$0.00	\$842.25	\$842.25	\$84.23	\$84.23	11/: Dicc
777 00685	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	5	20.00%	\$0.00	\$0.00	\$3,575.43	\$3,575.43	\$715.09	\$715.09	
777 00924	MILFORD BANK PENSION PLAN	08/01/2006	2	20.00%	\$0.00	\$0.00	\$407.34	\$407.34	\$81.47	\$81.47	11 ni <i>i</i>
777 11009	STAMFORD FIREFIGHTERS PENSIO 05/01/2003	05/01/2003	66	7.50%	\$0.00	\$0.00	\$13,188.14	\$13,188.14	\$989.11	\$989.11	Er Affid
		Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$18,702.04	\$18,702.04	\$1,938.79	\$1,938.79	ntero lavit
288 APPEL I	APPEL NEW YORK										
550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	5	30.00%	\$0.00	\$0.00	\$2,079.94	\$2,079.94	\$623.98	\$623.98	11/: Pg :
555 10968	EDWARD SAAD AND	06/23/2006	5	30.00%	\$0.00	\$0.00	\$246.80	\$246.80	\$74.04	\$74.04	
80000 777	CITY OF MILFORD PENSION FUND	07/01/2004	66	10.00%	\$0.00	\$0.00	\$13,217.29	\$13,217.29	\$1,321.73	\$1,321.73	11 of 5
777 00224	CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004	07/27/2004	66	10.00%	\$0.00	\$0.00	\$36,119.51	\$36,119.51	\$3,611.95	\$3,611.95	
777 00365	JBC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$341.97	\$341.97	\$34.20	\$34.20	27:
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$341.46	\$341.46	\$34.15	\$34.15	53
777 00680	MILFORD HOSPITAL PENSION PLAN 01/31/2006	01/31/2006	10	30.00%	\$0.00	\$0.00	\$8,875.07	\$8,875.07	\$2,662.52	\$2,662.52	E
777 00682	MILFORD HOSPITAL PENSION PLAN 02/03/2006	02/03/2006	2	30.00%	\$0.00	\$0.00	\$2,316.49	\$2,316.49	\$694.95	\$694.95	xh
777 00923	MILFORD BANK PENSION PLAN	08/21/2006	۶	30.00%	\$0.00	\$0.00	\$282.24	\$282.24	\$84.67	\$84.67	ibit
777 00925	MILFORD BANK PENSION PLAN	08/01/2006	5	30.00%	\$0.00	\$0.00	\$407.34	\$407.34	\$122.20	\$122.20	
777 00926	MILFORD BANK PENSION PLAN	08/01/2006	2	30.00%	\$0.00	\$0.00	\$1,222.01	\$1,222.01	\$366.60	\$366.60	
777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	66	10.00%	\$0.00	\$0.00	\$395.86	\$395.86	\$39.59	\$39.59	
			Page 1 of 3	of 3				Run Date:	e: 09/12/2006	900	

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Month End: Aug-2006

08-13555-mg

TTT 5346 PRANK RMATHEWS TOT22004 99 10.00% \$0.00 \$0.00 \$411.54 \$411.54 \$411.15 \$411.54 \$411.15 \$411.54 \$411.15 \$411.54 \$411.15 \$411.	Marketer Reg Customer Name Rep Account	er Account t Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
PAPPEL NEW YORK 100920003 99 10.00% 50.00 590.50 590	CCIANNI, CHARLES										
TYT 2001 PAUL M GAGLIARDI REVOLTRUST 10032020 99 10.00% \$0.00 \$0.00 \$411.54 \$411.55 \$41.55 \$41	288 APPEL	NEW YORK									
TATE 53465 FRANK R MATTHEWS 10m320m3 99 10.00% 50.00 54.11.54	777 20017		02/12/2004	66	10.00%	\$0.00	\$0.00	\$395.59	\$395.59		\$39.56
TYT 00362 STECH MICHELLE NY SOOD \$60.00 \$66.663.11 \$66.653.11 \$66.653.11 \$67.51.20 \$89.75.20 777 00362 JBC ASSOCIATES LIMITED 0.1020/2005 9 1.0.00% \$0.00 \$342.20 \$343.23 \$343.43<	777 53485		10/03/2003	66	10.00%	\$0.00	\$0.00	\$411.54	\$411.54		\$41.15
STEIN MICHELLE NY 777 00362 JBC ASSOCIATES LIMITED 01/19/2005 99 10.00% \$0.00 \$34.22 \$34.22 \$34.24 777 00362 JBC ASSOCIATES LIMITED 01/19/2005 99 10.00% \$0.00 \$30.00 \$345.43 \$345.43 \$34.54 777 00367 CSC ASSOCIATES LIMITED 01/19/2005 99 10.00% \$0.00 \$40.00 \$41.33 \$474.33 \$474.43 \$44.44 777 00445 BARBARA R GOODMAN MARITAL TI ALY05/2005 99 10.00% \$0.00 \$40.00 \$44.43 \$44.43 \$44.43 \$44.44			Reg	Rep To	otal:	\$0.00	\$0.00	\$66,653.11	\$66,653.11	\$9.751.29	\$9.751.29
TYT 00362 BIC ASSOCIATES LIMITED OTTZ0ZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZ		MICHELLE NY									
777 00367 CSC ASSOCIATES LIMITED 01/19/2005 99 10.00% \$0.00 <t< td=""><td>777 00362</td><td></td><td>01/20/2005</td><td>66</td><td>10.00%</td><td>\$0.00</td><td>\$0.00</td><td>\$342.20</td><td>\$342.20</td><td>\$34.22</td><td>C34 22</td></t<>	777 00362		01/20/2005	66	10.00%	\$0.00	\$0.00	\$342.20	\$342.20	\$34.22	C34 22
777 00446 BARBARA R GOODMAN MARITAL IT 04/05/2005 99 10.00% \$0.00 \$474.33 \$474.33 \$474.33 \$474.34 \$474.33 \$474.43 \$474.43 \$474.43 \$474.33 <	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$345.43	\$345.43	\$34.54	£34 54
777 00445 BARBARA R GOODMAN MARITAL IT 04/05/2005 99 10.00% \$0.00 \$142.43 \$142.	777 00414	CATHRYN M HOLLINGER	02/28/2005	66	10.00%	\$0.00	\$0.00	\$474.33	\$474.33	\$47.43	\$47.43
777 00446 BARBARA R GOODMAN CREDIT 04/19/2005 99 10.00% \$0.00 \$6.00	777 00445	BARBARA R GOODMAN MARITAL T	F 04/05/2005	66	10.00%	\$0.00	\$0.00	\$142.43	\$142.43	\$14.24	\$14.24
777 00563 AWA FUND 04/19/2005 99 10.00% \$0.00 \$6.00 \$6.57.19 \$67.51.9 \$67.51.9 \$67.52 777 00563 JOHIN ECONELIAS 08/11/2005 99 10.00% \$0.00 \$6.00 \$662.77 \$65.21 \$65.22 \$65.21 \$65.22 \$65.21 \$66.22 \$65.22 \$65.22 \$65.22 \$65.22 \$65.22 \$65.22 \$65.22 \$65.22 \$65.22 \$65.22	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	66	10.00%	\$0.00	\$0.00	\$486.22	\$486.22	\$48.62	\$48.62
777 00569 JOHN E CONELIAS 08/11/2005 99 10.00% \$0.00 \$662.77 \$652.77 \$652.77 \$652.8 777 00580 JOHN BLATZ 09/07/2005 01 30.00% \$0.00 \$0.00 \$662.13 \$662.13 \$652.77 \$652.8 777 00546 MASTER PEN PLAN FOR HOURLY 11/22/2005 01 30.00% \$0.00 \$332.17 \$332.17 \$89.65 777 00546 MASTER PEN PLAN FOR SALARIED 11/22/2005 01 30.00% \$0.00 \$30.0 \$30.59 \$271.78 \$5 777 20016 FIBIO STEPHEN P CHERNOCK JR 02/10/2004 99 10.00% \$0.00 \$0.00 \$411.64 \$411.6	777 00470	AWA FUND	04/19/2005	66	10.00%	\$0.00	\$0.00	\$675.19	\$675.19	\$67.52	\$67.52
777 00545 JOHN BLATZ 9907/2005 01 30.00% \$0.00 \$662.13 \$662.13 \$198.64 \$198.64 777 00645 MASTER PEN PLAN FOR HOURLY 11/22/2005 01 30.00% \$0.00 \$30.217 \$392.77 \$99.65 777 00646 MASTER PEN PLAN FOR HOURLY 11/22/2005 01 30.00% \$0.00 \$0.05 \$905.94 \$905.94 \$271.78 \$171.78 \$271.78	777 00563	JOHN E CONELIAS	08/11/2005	66	10.00%	\$0.00	\$0.00	\$652.77	\$652.77	\$65.28	\$65.28
777 00645 MASTER PEN PLAN FOR HOURLY 11/22/2005 01 30.00% \$0.00 \$332.17 \$332.17 \$99.65 777 00646 MASTER PEN PLAN FOR SALARIED 11/22/2005 01 30.00% \$0.00 \$0.00 \$905.94 \$271.78 \$90.65 777 20015 FIB/O STEPHEN P CHERNOCK JR 02/05/2004 99 10.00% \$0.00 \$6.00 \$411.64 \$411.64 \$41.16	777 00580	JOHN BLATZ	09/07/2005		30.00%	\$0.00	\$0.00	\$662.13	\$662.13	\$198 64	\$108 64
777 20015 FIBIO STEP PEN PLAN FOR SALARIED 11/22/2005 01 30.00% \$0.00 \$0.00 \$905.94 \$905.94 \$271.78 \$1.16 \$1	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	5	30.00%	\$0.00	\$0.00	\$332.17	\$332.17	\$00 B	400 AR
777 20015 F/B/O STEPHEN P CHERNOCK JR 02/05/2004 99 10.00% \$0.00 \$0.00 \$411.64	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005		30.00%	\$0.00	\$0.00	\$905.94	\$905.94	\$271.78	£274.78
777 20016 PAUL M GAGLIARDI 02/10/2004 99 10.00% \$0.00 \$636.55	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	8	10.00%	\$0.00	\$0.00	\$411.64	\$411.64	\$41.16	644 46
777 53486 FRANK R MATTHEWS 10/02/2003 99 10.00% \$0.00 \$0.00 \$455.69 \$455.69 \$45.57 Reg Rep Total: \$0.00 \$0.00 \$6,522.69 \$455.20 \$1,032.31 \$1, BOLTON NEW YORK 550 02168 WMBRH-KENNEDY DUNCAN FUND 06/23/2006 01 30.00% \$0.00 \$216.19 \$216.19 \$216.19 \$64.86	777 20016	PAUL M GAGLIARDI	02/10/2004	8	10.00%	\$0.00	\$0.00	\$636.55	\$636.55	\$63.66	# # F
BOLTON NEW YORK \$0.00 \$0.00 \$6,522.69 \$1,032.31 \$1 550 02168 WMBRH-KENNEDY DUNCAN FUND 06/23/2006 01 30.00% \$0.00 \$216.19 \$216.19 \$216.19 \$216.19 \$64.86	777 53486	FRANK R MATTHEWS	10/02/2003		10.00%	\$0.00	\$0.00	\$455.69	\$455.69	\$45.57	\$45.57
BOLTON NEW YORK 550 02168 WMBRH-KENNEDY DUNCAN FUND 06/23/2006 01 30.00% \$0.00 \$0.00 \$361.88 \$361.88 \$108.56 \$108.56 \$10.00 \$216.19 \$216.19 \$64.86			Reg R	tep Tot	tal:	\$0.00	\$0.00	\$6,522.69	\$6,522.69	\$1,032.31	\$1,032.31
WMBRH-KENNEDY DUNCAN FUND 06/23/2006 01 30.00% \$0.00 \$0.00 \$361.88 \$361.88 \$108.56 \$		I NEW YORK									
WMBRH-STURGIS FUND 06/23/2006 01 30.00% \$0.00 \$0.00 \$216.19 \$216.19 \$64.86	550 02168		06/23/2006		30.00%	\$0.00	\$0.00	\$361.88	\$361.88	\$108.56	\$108.56
	550 02175	WMBRH-STURGIS FUND	06/23/2006		30.00%	\$0.00	\$0.00	\$216.19	\$216.19	\$64.86	\$64.86

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit Exhibit A (part 2) to Diccianni Affidavit Pg 24 of 55

Exhibit

08-13555-mg Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit A (part 2) to Diccianni Affidavit Pg 25 of 55

NEUBERGER BERMAN

Compensation Report

Month End: Aug-2006

Marketer Reg Customer Name Rep Account	er Account t Name	Start Date	Tier	Pct Payout	Total	PO Comm	Quarterly	Monthly	PO Fee	Total
DICCIANNI, CHARLES										rayout
831 BOLTO	BOLTON NEW YORK									
550 02176	WMBRH-HOME HEALTH EDUCATION 06/23/2006	06/23/2006	01 30	30.00%	\$0.00	\$0.00	\$71.72	\$71.72	\$21.52	\$21.52
		Reg	Reg Rep Total:		\$0.00	\$0.00	\$649.79	\$649.79	\$194.94	\$194.94
881 M KAMI	M KAMINSKY /NY									
521 01163	F/B/O EDDIE SITT	08/29/2005	01 30	30.00%	\$0.00	\$0.00	\$3,409.24	\$3,409.24	\$1,022.77	\$1,022.77
		Regl	Reg Rep Total:		\$0.00	\$0.00	\$3,409.24	\$3,409.24	\$1.022.77	\$1,022.77
A12 JEFFREY BOLTON	Y BOLTON									
550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01 30.	30.00%	\$0.00	\$0.00	\$316.64	\$316.64	\$94.99	\$94.99
550 02175	WMBRH-STURGIS FUND	06/23/2006	01 30.	30.00%	\$0.00	\$0.00	\$189.16	\$189.16	\$56.75	\$56.75
550 02176	WMBRH-HOME HEALTH EDUCATIO! 06/23/2006	1 06/23/2006	01 30.	30.00%	\$0.00	\$0.00	\$62.75	\$62.75	\$18.82	\$18.82
		Reg F	Reg Rep Total:		\$0.00	\$0.00	\$568.55	\$568.55	\$170.56	\$170.56
B73 INTERN	INTERNATIONAL EQUITY / NEW YOR									
777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99 10.0	10.00%	\$0.00	\$0.00	\$17,873.68	\$17,873.68	\$1,787.37	\$1,787.37
777 00683	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	01 30.(30.00%	\$0.00	\$0.00	\$4,335.30	\$4,335.30	\$1,300.59	\$1,300.59
		Reg R	Reg Rep Total:		\$0.00	\$0.00	\$22,208.98	\$22,208.98	\$3.087.96	\$3.087.96
193 PAMAS	PAMAS MUTUAL FUNDS / NEW YOR!									
777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99 10.0	10.00%	\$0.00	\$0.00	\$392.70	\$392.70	\$39.27	\$39.27
777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99 10.00%	%00	\$0.00	\$0.00	\$2,924.75	\$2,924.75	\$292.48	\$292.48
		Reg R	Reg Rep Total:		\$0.00	\$0.00	\$3,317.45	\$3,317.45	\$331.75	\$331.75
		Marketer Total:			\$0.00	\$0.00	\$175,473.65 \$175,473.65		\$27,229.87	\$27,229.87

COMPENSATION REPORT **CHARLES DICCIANNI** September-06

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION

22,570.18

TOTAL

EARNINGS CODE/RSU BREAKDOWN:

20,184.65 2,385.53

22,570.18

CASH - CNB RSU-CN5 K:\FIN\RRF-Commission\M Musico\EXCEL FILES\INDIVIDUAL ASSET MGMT\2004 IAM\03-2004 IAM\03-2004 IAM GOAL.xls

PA12			Compe	nsati	Compensation Report	ti		Z	NEUBERGER	ER BERMAN	NA	
								M	onth End	Month End : Sep-2006	(0)	08-135
Marketer Reg Customer Name Rep Account	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total F Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	55-mg
DICCIANNI, CHARLES	ARLES											D
223 \$	SCHWAR	SCHWARTZ NEW YORK									-^111	oc Exhi
77	777 00874	CITY OF STAMFORD FIREMEN	05/01/2003	66	10.00%	\$0.00	\$0.00	\$15,832.60	\$15,832.60	\$1,583.26	\$1,583.26	
77	777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	2	30.00%	\$0.00	\$0.00	\$10,888.30	\$10,888.30	\$3,266.49	\$3,266.49	717 A (r
			Reg Re	Rep Total:	otal:	\$0.00	\$0.00	\$26,720.90	\$26,720.90	\$4,849.75	\$4,849.75	-2 part
251 F	IXED IN	FIXED INCOME/NY									۷)	
77	777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	66	10.00%	\$0.00	\$0.00	\$688.88	\$688.88	\$68.89	\$68.89	ed to [
77	777 00418	MERIDEN POLICE & FIRE	02/28/2005	66	10.00%	\$0.00	\$0.00	\$842.25	\$842.25	\$84.23	\$84.23	
11	777 00685	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	5	20.00%	\$0.00	\$0.00	\$3,575.43	\$3,575.43	\$715.09	\$715.09	
11	777 00924	MILFORD BANK PENSION PLAN	08/01/2006	5	20.00%	\$0.00	\$0.00	\$407.34	\$407.34	\$81.47	\$81.47	
77	777 11009	STAMFORD FIREFIGHTERS PENSIO 05/01/2003	05/01/2003	66	7.50%	\$0.00	\$0.00	\$6,594.07	\$6,594.07	\$494.56	\$494.56	E Affic
			Reg	Reg Rep Total:	ıtal:	\$0.00	\$0.00	\$12,107.97	\$12,107.97	\$1,444.24	\$1,444.24	nter
288 A	PPEL N	APPEL NEW YORK										
551	550 01688	MILFORD HOSPITAL MID CAP 0	01/01/2006	5	30.00%	\$0.00	\$0.00	\$2,079.94	\$2,079.94	\$623.98	\$623.98	
55:	555 10968	EDWARD SAAD AND 0	06/23/2006	5	30.00%	\$0.00	\$0.00	\$246.80	\$246.80	\$74.04	\$74.04	
77.	777 00008	CITY OF MILFORD PENSION FUND 0	07/01/2004	66	10.00%	\$0.00	\$0.00	\$13,217.29	\$13,217.29	\$1,321.73	\$1,321.73	11 of 5
77.	777 00224	CITY OF BRISTOL MUNICIPAL EMPL 0	07/27/2004	66	10.00%	\$0.00	\$0.00	\$36,119.51	\$36,119.51	\$3,611.95	\$3,611.95	
.77	777 00365	JBC ASSOCIATES LIMITED 0	01/19/2005	66	10.00%	\$0.00	\$0.00	\$341.97	\$341.97	\$34.20	\$34.20	27:
77	777 00366	CSC ASSOCIATES LIMITED 0	01/19/2005	66	10.00%	\$0.00	\$0.00	\$341.46	\$341.46	\$34.15	\$34.15	53
777	777 00680	MILFORD HOSPITAL PENSION PLAN 01/31/2006	11/31/2006	5	30.00%	\$0.00	\$0.00	\$8,875.07	\$8,875.07	\$2,662.52	\$2,662.52	E
777	777 00682	MILFORD HOSPITAL PENSION PLAN 02/03/2006	2/03/2006	5	30.00%	\$0.00	\$0.00	\$2,316.49	\$2,316.49	\$694.95	\$694.95	xh
177	177 00923	MILFORD BANK PENSION PLAN 0	08/21/2006	5	30.00%	\$0.00	\$0.00	\$846.71	\$846.71	\$254.01	\$254.01	ibit
177	177 00925	MILFORD BANK PENSION PLAN 0	08/01/2006	2	30.00%	\$0.00	\$0.00	\$407.34	\$407.34	\$122.20	\$122.20	
177	777 00926	MILFORD BANK PENSION PLAN 0	08/01/2006	2	30.00%	\$0.00	\$0.00	\$1,222.01	\$1,222.01	\$366.60	\$366.60	
777	777 20014	F/B/O STEPHEN P CHERNOCK JR 0.	02/06/2004	66	10.00%	\$0.00	\$0.00	\$395.86	\$395.86	\$39.59	\$39.59	
			Δ.	Page 1 of 3	of 3				Run Date:	e: 10/13/2006	900	

10/13/2006
Run Date:

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AN	91	Total Payout			\$39.56	\$41.15	\$9,920.63		\$34.22	\$34.54		\$14.24					\$66.21	\$99.65	\$271.78		\$63.66	\$45.57	\$2,399.88		(\$340.92)
ER BERMAN	Month End : Sep-2006	PO Fee Amt			\$39.56	\$41.15	\$9,920.63		\$34.22	\$34.54	\$47.43	\$14.24	\$48.62	\$67.52	\$65.28	\$1,500.00	\$66.21	\$99.65	\$271.78	\$41.16	\$63.66	\$45.57	\$2,399.88		(\$340.92)
NEUBERGER	onth End	Monthly			\$395.59	\$411.54	\$67,217.58		\$342.20	\$345.43	\$474.33	\$142.43	\$486.22	\$675.19	\$652.77	\$0.00	\$662.13	\$332.17	\$905.94	\$411.64	\$636.55	\$455.69	\$6,522.69		(\$3,409.24)
Z	M	Quarterly Fee			\$395.59	\$411.54	\$67,217.58		\$342.20	\$345.43	\$474.33	\$142.43	\$486.22	\$675.19	\$652.77	\$0.00	\$662.13	\$332.17	\$905.94	\$411.64	\$636.55	\$455.69	\$6,522.69		(\$3,409.24)
		PO Comm Amt			\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
ort		Total Comm			\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
Compensation Report		Pct Payout			10.00%	10.00%	Rep Total:		10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%		10.00%	30.00%	30.00%	10.00%	10.00%	10.00%	otal:		10.00%
ensa		Tier			66	66			8	66	66	66	66	66	66	×	66	5	6	66	66	66	Rep Total:		6
Comp		Start Date			02/12/2004	10/03/2003	Reg		01/20/2005	01/19/2005	02/28/2005	04/05/2005	04/05/2005	04/19/2005	08/11/2005		09/07/2005	11/22/2005	11/22/2005	02/05/2004	02/10/2004	10/02/2003	Reg		08/29/2005
		Reg Customer Account Rep Account Name	CHARLES	APPEL NEW YORK	777 20017 PAUL M GAGLIARDI REVOC TRUST 02/12/2004	777 53485 FRANK R MATTHEWS		STEIN MICHELLE NY	777 00362 JBC ASSOCIATES LIMITED	777 00367 CSC ASSOCIATES LIMITED	777 00414 CATHRYN M HOLLINGER	777 00445 BARBARA R GOODMAN MARITAL TF 04/05/2005	777 00446 BARBARA R GOODMAN CREDIT	777 00470 AWA FUND	777 00563 JOHN E CONELIAS	777 00563 JOHN E CONELIAS	777 00580 JOHN BLATZ	777 00645 MASTER PEN PLAN FOR HOURLY	777 00646 MASTER PEN PLAN FOR SALARIED	777 20015 F/B/O STEPHEN P CHERNOCK JR	777 20016 PAUL M GAGLIARDI	777 53486 FRANK R MATTHEWS		M KAMINSKY /NY	521 01163 F/B/O EDDIE SITT
PA12		Marketer Reg Customer Name Rep Account	DICCIANNI, CHARLES	288				390																881	

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08-13555-mg

Marketer Reg Customer Name Rep Account	er Account Name	Start Date	Tier	Pct Payout	Total	Total PO Comm	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	55-mg
DICCIANNI, CHARLES											
881 M KAMI	M KAMINSKY /NY										
521 01163	F/B/O EDDIE SITT	08/29/2005	66	10.00%	\$0.00	\$0.00	\$5,113.86	\$5,113.86	\$511.39	\$511.39	: 22 nibit
		Reg F	Rep Total:	tal:	\$0.00	\$0.00	\$1,704.62	\$1,704.62	\$170.47	\$170.47	
A12 JEFFREY BOLTON	Y BOLTON										-2 oart
550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	5	30.00%	\$0.00	\$0.00	\$678.52	\$678.52	\$203.56	\$203.56	
550 02175	WMBRH-STURGIS FUND	06/23/2006	5	30.00%	\$0.00	\$0.00	\$405.35	\$405.35	\$121.60	\$121.60	
550 02176	WMBRH-HOME HEALTH EDUCATION 06/23/2006	06/23/2006	2	30.00%	\$0.00	\$0.00	\$134.47	\$134.47	\$40.34		11/ Dico
		Reg F	Rep Total:	tal:	\$0.00	\$0.00	\$1,218.34	\$1,218.34	\$365.50	\$365.50	
B73 INTERN,	B73 INTERNATIONAL EQUITY / NEW YOR										
777 00378	777 00378 CITY OF BRISTOL GENERAL	01/19/2005	66	10.00%	\$0.00	\$0.00	\$17,873.68	\$17,873.68	\$1,787.37	\$1,787.37	E Affic
777 00683	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	10	30.00%	\$0.00	\$0.00	\$4,335.30	\$4,335.30	\$1,300.59		nter Javi
		Reg R	Rep Total:	tal:	\$0.00	\$0.00	\$22,208.98	\$22,208.98	\$3,087.96	\$3,087.96	O G
193 PAMAS	PAMAS MUTUAL FUNDS / NEW YORF										11/ Pg
777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	66	10.00%	\$0.00	\$0.00	\$392.70	\$392.70	\$39.27	\$39.27	29/2 29 (
777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	66	10.00%	\$0.00	\$0.00	\$2,924.75	\$2,924.75	\$292.48	\$292.48	
		Reg R	Rep Total:	ial:	\$0.00	\$0.00	\$3,317.45	\$3,317.45	\$331.75	\$331.75	
		Marketer Total:			\$0.00	\$0.00	\$141,018.53 \$141,018.53	141,018.53	\$22,570.18	\$22,570.18	7:53
											ı

COMPENSATION REPORT CHARLES DICCIANNI October-06

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION

21,414.87

21,414.87

19,202.64 2,212.23

CASH - CNB

EARNINGS CODE/RSU BREAKDOWN:

TOTAL

RSU-CN5

K:\FIN\RRF-Commission\M Musico\EXCEL FILES\INDIVIDUAL ASSET MGMT\2004 IAM\03-2004 IAM\03-2004 IAM GOAL.xis

Employee Name	Prod Month	RSU Amount
Diccianni,Charles		
	12/1/2005	1,623.39
	1/1/2006	1,165.13
	2/1/2006	2,773.54
	3/1/2006	1,558.60
	4/1/2006	2,056.25
	5/1/2006	2,036.32
	6/1/2006	1,817.01
	7/1/2006	1,324.99
	8/1/2006	3,084.48
	9/1/2006	2,385.53
	10/1/2006	2,212.23
Diccianni,Charles Total		22,037.47

							W	onth End	Month End : Oct-2006		08-135
Marketer Reg Customer Name Rep Account	r Account Name	Start Date	Tier	Pct Payout	Total PC Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	55-mg
DICCIANNI, CHARLES											
223 SCHWAF	SCHWARTZ NEW YORK									-^11	oc Exh
777 00874	CITY OF STAMFORD FIREMEN	05/01/2003	66	10.00%	\$0.00	\$0.00	\$15,657.57	\$15,657.57	\$1,565.76	\$1,565.76	
777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	2	30.00%	\$0.00	\$0.00	\$11,058.74	\$11,058.74	\$3,317.62	\$3,317.62	717 A (ı
		Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$26,716.31	\$26,716.31	\$4,883.38	\$4,883.38	-2 part
251 FIXED IN	FIXED INCOME/NY									. 2)	
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	66	10.00%	\$0.00	\$0.00	\$709.86	\$709.86	\$70.99	\$70.99	led to [
777 00418	MERIDEN POLICE & FIRE	02/28/2005	66	10.00%	\$0.00	\$0.00	\$867.66	\$867.66	\$86.77	\$86.77	
777 00685	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	۶	20.00%	\$0.00	\$0.00	\$3,684.82	\$3,684.82	\$736.96	\$736.96	
777 00924	MILFORD BANK PENSION PLAN	08/01/2006	5	20.00%	\$0.00	\$0.00	\$429.13	\$429.13	\$85.83	\$85.83	
777 11009	STAMFORD FIREFIGHTERS PENSIO 05/01/2003	05/01/2003	66	7.50%	\$0.00	\$0.00	\$6,755.85	\$6,755.85	\$506.69	\$506.69	E Affi
		Reg Re	Rep Total:	tal:	\$0.00	\$0.00	\$12,447.32	\$12,447.32	\$1,487.24	\$1,487.24	
288 APPEL N	APPEL NEW YORK										
550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	5	30.00%	\$0.00	\$0.00	\$2,113.40	\$2,113.40	\$634.02	\$634.02 G	11 <i>i</i> Pg
555 10968	EDWARD SAAD AND	06/23/2006	۶	30.00%	\$0.00	\$0.00	\$259.20	\$259.20	\$77.76	\$77.76	
777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	66	10.00%	\$0.00	\$0.00	\$13,291.76	\$13,291.76	\$1,329.18	\$1,329.18	/11
777 00224	CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004	07/27/2004	66	10.00%	\$0.00	\$0.00	\$36,545.21	\$36,545.21	\$3,654.52	\$3,654.52	11 55
777 00365	JBC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$344.86	\$344.86	\$34.49	\$34.49	:27
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$344.36	\$344.36	\$34.44	\$34.44	:53
777 00680	MILFORD HOSPITAL PENSION PLAN 01/31/2006	01/31/2006	5	30.00%	\$0.00	\$0.00	\$9,239.14	\$9,239.14	\$2,771.74	\$2,771.74	E
777 00682	MILFORD HOSPITAL PENSION PLAN 02/03/2006	02/03/2006	5	30.00%	\$0.00	\$0.00	\$2,363.51	\$2,363.51	\$709.05	\$709.05	Exh
777 00923	MILFORD BANK PENSION PLAN	08/21/2006	5	30.00%	\$0.00	\$0.00	\$847.79	\$847.79	\$254.34	\$254.34	ibit
777 00925	MILFORD BANK PENSION PLAN	08/01/2006	5	30.00%	\$0.00	\$0.00	\$427.29	\$427.29	\$128.19	\$128.19	
777 00926	MILFORD BANK PENSION PLAN	08/01/2006	2	30.00%	\$0.00	\$0.00	\$1,287.66	\$1,287.66	\$386.30	\$386.30	
777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	66	10.00%	\$0.00	\$0.00	\$398.60	\$398.60	\$39.86	\$39.86	
		Δ.	Page 1 of 3	of 3				Run Date:	e: 11/14/2006	900	

Compensation Report

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\$48	8

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NEUBERGER BERMAN

Month End: Oct-2006

08-13555-mg

Marketer Reg Customer Name Rep Account	Reg Custome Rep Account	ir Account Name	Start Date	Tier	Pct Payout (Total PC Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	55-mg
DICCIANNI, CHARLES	CHARLES											
288	APPEL P	APPEL NEW YORK										Doc Exh
	777 20017	PAUL M GAGLIARDI REVOC TRUST 02/12/2004	02/12/2004	66	10.00%	\$0.00	\$0.00	\$399.01	\$399.01	\$39.90	\$39.90	
	777 53485	FRANK R MATTHEWS	10/03/2003	66	10.00%	\$0.00	\$0.00	\$423.95	\$423.95	\$42.40	\$42.40	717 A (_l
			Reg	Rep Total:	tal:	\$0.00	\$0.00	\$68,285.74	\$68,285.74	\$10,136.19	\$10,136.19	-2 oart
390		STEIN MICHELLE NY										
	777 00362	JBC ASSOCIATES LIMITED	01/20/2005	8	10.00%	\$0.00	\$0.00	\$349.57	\$349.57	\$34.96	\$34.96	ed to [
	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$351.15	\$351.15	\$35.12	\$35.12	
	777 00414	CATHRYN M HOLLINGER	02/28/2005	66	10.00%	\$0.00	\$0.00	\$486.04	\$486.04	\$48.60	\$48.60	
	777 00445	BARBARA R GOODMAN MARITAL TF 04/05/2005	04/05/2005	66	10.00%	\$0.00	\$0.00	\$144.74	\$144.74	\$14.47	\$14.47	
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	8	10.00%	\$0.00	\$0.00	\$492.85	\$492.85	\$49.29	\$49.29	
	777 00470	AWA FUND	04/19/2005	6	10.00%	\$0.00	\$0.00	\$689.94	\$689.94	\$68.99	\$68.99	
	777 00563	JOHN E CONELIAS	08/11/2005	66	10.00%	\$0.00	\$0.00	\$1,299.33	\$1,299.33	\$129.93	\$129.93	ereo ⁄it
	777 00580	JOHN BLATZ	09/07/2005	66	10.00%	\$0.00	\$0.00	\$680.02	\$680.02	\$68.00	\$68.00	d 13 Po
	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	٤	30.00%	\$0.00	\$0.00	\$341.21	\$341.21	\$102.36	\$102.36	1/29 g 33
	777 00646	MASTER PEN PLAN FOR SALARIED 11/22/2005	11/22/2005	2	30.00%	\$0.00	\$0.00	\$929.56	\$929.56	\$278.87	\$278.87	9/11 3 of
	777 20015	F/B/O STEPHEN P CHERNOCK JR (02/05/2004	66	10.00%	\$0.00	\$0.00	\$422.11	\$422.11	\$42.21	\$42.21	
	777 20016	PAUL M GAGLIARDI	02/10/2004	98	10.00%	\$0.00	\$0.00	\$651.50	\$651.50	\$65.15	\$65.15	
	777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$466.93	\$466.93	\$46.69	\$46.69	7:53
			Reg F	Reg Rep Total:	al:	\$0.00	\$0.00	\$7,304.95	\$7,304.95	\$984.64	\$984.64	E
881	M KAMINSKY /NY	ISKY /NY										Ξxh
	521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$489.22	\$489.22	\$48.92	\$48.92	ibit
			Reg F	Reg Rep Total:	al:	\$0.00	\$0.00	\$489.22	\$489.22	\$48.92	\$48.92	

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	11/14/2006
	Run Date:

NEUBERGER BERMAN

Month End: Oct-2006

08-13555-mg

Marketer Reg Customer Name Rep Account	er Account Name	Start Date	Tier	Pct Payout	Total P	Total PO Comm	Quarterly Monthly Fee Fee	Monthly Fee	PO Fee Amt	Total Payout	55-mg
DICCIANNI, CHARLES											
A12 JEFFREY BOLTON	EY BOLTON										Doc Exh
550 02168	WMBRH-KENNEDY DUNCAN FUND 06/23/2006	06/23/2006	5	30.00%	\$0.00	\$0.00	\$790.71	\$790.71	\$237.21	\$237.21	
550 02175	WMBRH-STURGIS FUND	06/23/2006	2	30.00%	\$0.00	\$0.00	\$472.78	\$472.78	\$141.83	\$141.83	
550 02176	WMBRH-HOME HEALTH EDUCATION 06/23/2006	06/23/2006	5	30.00%	\$0.00	\$0.00	\$156.32	\$156.32	\$46.90	\$46.90	7-2 par
		Reg F	Rep Total:	tal:	\$0.00	\$0.00	\$1,419.81	\$1,419.81	\$425.94	\$425.94	
B73 INTERN	B73 INTERNATIONAL EQUITY / NEW YOR										
777 00378	CITY OF BRISTOL GENERAL	01/19/2005	66	10.00%	\$0.00	\$0.00	\$17,960.19	\$17,960.19	\$1,796.02	\$1,796.02	
777 00683	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	5	30.00%	\$0.00	\$0.00	\$4,432.81	\$4,432.81	\$1,329.84	\$1,329.84	/29/ cian
		Reg F	Rep Total:	:le:	\$0.00	\$0.00	\$22,393.00	\$22,393.00	\$3,125.86	\$3,125.86	
193 PAMAS	PAMAS MUTUAL FUNDS / NEW YOR										
777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	66	10.00%	\$0.00	\$0.00	\$400.38	\$400.38	\$40.04	\$40.04	nte davi
777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	66	10.00%	\$0.00	\$0.00	\$2,826.64	\$2,826.64	\$282.66	\$282.66	
		Reg R	Rep Total:	: <u> </u>	\$0.00	\$0.00	\$3,227.02	\$3,227.02	\$322.70	\$322.70	11/2 Pg 3
		Marketer Total:			\$0.00	\$0.00	\$142,283.37 \$142,283.37	142,283.37	\$21,414.87	\$21,414.87	29/11 84 of
										2.	:27:
											53

Employee Name	Prod Month	
Diccianni,Charles		
	12/1/2005	1,623.39
	1/1/2006	1,165.13
	2/1/2006	2,773.54
	3/1/2006	1,558.60
	4/1/2006	2,056.25
	5/1/2006	2,036.32
	6/1/2006	1,817.01
	7/1/2006	1,324.99
	8/1/2006	3,084.48
	9/1/2006	2,385.53
	10/1/2006	2,212.23
	11/1/2006	2,774.73
Diccianni,Charles Tota	1	24,812.20
		2 1,012.2

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Month End: Nov-2006

08-13555-mg

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### SCHWARTZ NEW YORK 717 00894 GITY OF BRISTOLE BMENGNED FIREMEN	ICCIANNI	CHARLES										
777 00896 CITY OF BRISTOL EMPLOYEE 0701/2009 01 30.00% \$6.00 \$10.00% \$6.00 \$10.00% \$6.00 \$10.00% \$1	22		IRTZ NEW YORK									
TTT 00888 CITY OF BRISTOL EMPLOYEE WITOLIZONS No. 000, 80.00 \$0.00		777 00874		05/01/2003	66	10.00%	\$0.00	\$0.00	\$15,657.57	\$15,657.57	\$1,565.76	\$1,565.76
TYTO 00896 CITY OF BRISTOL EMPLOYEE Sound State Stat		777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	6	30.00%	\$0.00	\$0.00	\$11,058.74	\$11,058.74	\$3,317.62	\$3,317.62
FIXED INCOME/INY FROM TOTAIL: \$0.00 \$0.		777 00898			×		\$0.00	\$0.00	\$0.00	\$0.00	\$3,750.00	\$3,750.00
TYTOOMENNY MERIDEN CITY EMPLOYEES 02282005 99 10.00% \$0.00 \$0.00 \$5.00 \$867.66 \$8708.66 \$8708.66 \$8709.66 \$8				Reg	Rep T	otal:	\$0.00	\$0.00	\$26,716.31	\$26,716.31	\$8,633.38	\$8,633.38
777 00419 MERIDEN CITY EMPLOYEES 022822005 99 10.00% \$0.00 <t< td=""><td>25</td><td></td><td>NCOME/NY</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>	25		NCOME/NY									
777 004048 MERIDEN POLICE & FIRE 0228/2005 99 10.00% \$0.00 \$0.00 \$8.607.66 \$867.66 \$187.60 \$177.0085 \$112.009 \$10.00% \$10.00% \$10.00 \$1		777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	66	10.00%	\$0.00	\$0.00	\$709.86	\$709.86	\$70.99	\$70.99
777 00685 MILFORD HOSPITAL PENSION PLAN 0125/2006 01 20.00% \$0.00 \$0.00 \$3.684.82 \$3.884.82		777 00418	MERIDEN POLICE & FIRE	02/28/2005	66	10.00%	\$0.00	\$0.00	\$867.66	\$867.66	\$86.77	\$86.77
TATO 00924 MILFORD BANK PENSION PLAN 08/01/2006 01 20.00% 50.00 50		777 00685	MILFORD HOSPITAL PENSION PLAN	01/25/2006	5	20.00%	\$0.00	\$0.00	\$3,684.82	\$3,684.82	\$736.96	\$736.96
Reg Rep Total: 80.00 \$0.00 \$6,755.85 \$6,755.		777 00924	MILFORD BANK PENSION PLAN	08/01/2006	5	20.00%	\$0.00	\$0.00	\$429.13	\$429.13	\$85.83	\$85.83
### APPEL NEW YORK 550 01688 MILFORD HOSPITAL MID CAP (01/12006) 01 30.00% 50.00 52.113.40 52.113.40 52.113.40 55.013.40 56.00 50.00 52.113.40 52.113.40 52.113.40 55.013.40 56.00 52.113.40 52.113		777 11009	STAMFORD FIREFIGHTERS PENSIO	05/01/2003	66	7.50%	\$0.00	\$0.00	\$6,755.85	\$6,755.85	\$506.69	\$506.69
APPEL NEW YORK 550 01688 MILFORD HOSPITAL MID CAP 01/01/2006 01 30.00% \$0.00 \$2.713.40 \$2.59.20 \$5.59.20 \$				Reg	Rep To	otal:	\$0.00	\$0.00	\$12,447.32	\$12,447.32	\$1,487.24	\$1,487.24
MILFORD HOSPITAL MID CAP 01/01/2006 01 30.00% \$0.00 \$0.00 \$2,113.40 \$2,113.40 \$6,113.40	28		NEW YORK									
EDWARD SAAD AND 06/23/2006 01 30.00% \$0.00 \$259.20		550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	5	30.00%	\$0.00	\$0.00	\$2,113.40	\$2,113.40	\$634.02	\$634.02
CITY OF MILFORD PENSION FUND 07/01/2004 99 10.00% \$0.00 \$0.00 \$13,291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3291.76 \$1,3361.76<		555 10968	EDWARD SAAD AND	06/23/2006	5	30.00%	\$0.00	\$0.00	\$259.20	\$259.20	\$77.76	\$77.76
CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004 99 10.00% \$0.00 \$36,545.21 \$36,779 \$3		777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	66	10.00%	\$0.00	\$0.00	\$13,291.76	\$13,291.76	\$1,329.18	\$1,329.18
JBC ASSOCIATES LIMITED 01/19/2005 99 10.00% \$0.00 \$0.00 \$344.36 \$344.36 \$34.36 \$5.00 CSC ASSOCIATES LIMITED 01/19/2005 99 10.00% \$0.00 \$0.00 \$344.36 \$3.77 MILFORD HOSPITAL PENSION PLAN 01/31/2006 01 30.00% \$0.00 \$2,363.51 \$2,363.51 \$7.0 MILFORD BANK PENSION PLAN 08/21/2006 01 30.00% \$0.00 \$0.00 \$427.29 \$12 MILFORD BANK PENSION PLAN 08/01/2006 01 30.00% \$0.00 \$0.00 \$427.29 \$12 MILFORD BANK PENSION PLAN 08/01/2006 01 30.00% \$0.00 \$1,287.66 \$1,287.66 \$31		777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	66	10.00%	\$0.00	\$0.00	\$36,545.21	\$36,545.21	\$3,654.52	\$3,654.52
CSC ASSOCIATES LIMITED 01/19/2005 99 10.00% \$0.00 \$0.00 \$344.36 \$344.36 \$7.70 MILFORD HOSPITAL PENSION PLAN 02/03/2006 01 30.00% \$0.00 \$0.00 \$9.239.14 \$2.77 \$7.70 MILFORD BANK PENSION PLAN 08/21/2006 01 30.00% \$0.00 \$0.00 \$427.29 \$427.29 \$1.2 MILFORD BANK PENSION PLAN 08/01/2006 01 30.00% \$0.00 \$0.00 \$1.287.66 \$1.287.60 \$1.2		777 00365	JBC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$344.86	\$344.86	\$34.49	\$34.49
MILFORD HOSPITAL PENSION PLAN 01/31/2006 01 30.00% \$0.00 \$0.00 \$9,239.14 \$2,77 MILFORD HOSPITAL PENSION PLAN 02/03/2006 01 30.00% \$0.00 \$0.00 \$2,363.51 \$7.263.52 \$7.27.29 \$7.27.29 \$7.27.29 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.66 \$7.287.67 \$7.287.67 \$7.287.67 \$7.287.67 <		777 00366	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$344.36	\$344.36	\$34.44	\$34.44
MILFORD HOSPITAL PENSION PLAN 02/03/2006 01 30.00% \$0.00 \$2,363.51 \$2,363.51 \$1,287.59 \$2,363.51 \$2,363.51 \$1,287.66 \$2,363.51		777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	5	30.00%	\$0.00	\$0.00	\$9,239.14	\$9,239.14	\$2,771.74	\$2,771.74
MILFORD BANK PENSION PLAN 08/21/2006 01 30.00% \$0.00 \$0.00 \$847.79 \$28 \$27.29 \$12 MILFORD BANK PENSION PLAN 08/01/2006 01 30.00% \$0.00 \$0.00 \$1,287.66 \$1,287.66 \$38 MILFORD BANK PENSION PLAN 08/01/2006 01 30.00% \$0.00 \$0.00 \$1,287.66 \$1,287.66 \$38 MILFORD BANK PENSION PLAN 08/01/2006 01 30.00% \$0.00 \$1,287.66 \$1,287.66 \$1,287.66 \$38 MILFORD BANK PENSION PLAN 08/01/2006 01 30.00% \$1,000 \$1,00		777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	2	30.00%	\$0.00	\$0.00	\$2,363.51	\$2,363.51	\$709.05	\$709.05
MILFORD BANK PENSION PLAN 08/01/2006 01 30.00% \$0.00 \$0.00 \$427.29 \$127.29 \$12 MILFORD BANK PENSION PLAN 08/01/2006 01 30.00% \$0.00 \$0.00 \$1,287.66 \$1,287.66 \$38 Page 4 of 3		777 00923	MILFORD BANK PENSION PLAN	08/21/2006	5	30.00%	\$0.00	\$0.00	\$847.79	\$847.79	\$254.34	\$254.34
MILFORD BANK PENSION PLAN 08/01/2006 01 30.00% \$0.00 \$0.00 \$1,287.66 \$1,287.66 \$36 \$36 \$36 \$36 \$36 \$36 \$36 \$36 \$36 \$		777 00925	MILFORD BANK PENSION PLAN	08/01/2006	5	30.00%	\$0.00	\$0.00	\$427.29	\$427.29	\$128.19	\$128.19
Bur Dafe		777 00926	MILFORD BANK PENSION PLAN	08/01/2006	5	30.00%	\$0.00	\$0.00	\$1,287.66	\$1,287.66	\$386.30	\$386.30
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Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit A (part 2) to Diccianni Affidavit Pg 36 of 55

Exhibit

08-13555-mg	Doc 22717-2 Exhibit A (par	Filed 11/ t 2) to Dicc	/29/11 cianni A	Entered Affidavit I	11/29/11 11 Pg 37 of 55	.:27:53	Exhibit	
Total Payout					\$129.93 \$68.00 \$102.36 \$278.87			\$48.92

Run Date: 12/06/2006

Page 2 of 3

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								Mc	onth End	Month End : Nov-2006	9]	08-135
Marketer Re Name Re	Marketer Reg Customer Name Rep Account	r Account Name	Start Date	Tier	Pct Payout	Total	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	
DICCIANNI, CHARLES	CHARLES											, L
288		APPEL NEW YORK										oc Exh
	777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	66	10.00%	\$0.00	\$0.00	\$398.60	\$398.60	\$39.86	\$39.86	ibit
	777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	66	10.00%	\$0.00	\$0.00	\$399.01	\$399.01	\$39.90	\$39.90	
	777 53485	FRANK R MATTHEWS	10/03/2003	66	10.00%	\$0.00	\$0.00	\$423.95	\$423.95	\$42.40	\$42.40	-z part
			Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$68,285.74	\$68,285.74	\$10,136.19	\$10,136.19	
390		STEIN MICHELLE NY										to I
	777 00362	JBC ASSOCIATES LIMITED	01/20/2005	66	10.00%	\$0.00	\$0.00	\$349.57	\$349.57	\$34.96	\$34.96	Dico
	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$351.15	\$351.15	\$35.12	\$35.12	
	777 00414	CATHRYN M HOLLINGER	02/28/2005	66	10.00%	\$0.00	\$0.00	\$486.04	\$486.04	\$48.60	\$48.60	nni ,
	777 00445	BARBARA R GOODMAN MARITAL TF 04/05/2005	04/05/2005	66	10.00%	\$0.00	\$0.00	\$144.74	\$144.74	\$14.47	\$14.47	Affi
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	66	10.00%	\$0.00	\$0.00	\$492.85	\$492.85	\$49.29	\$49.29	dav
	777 00470	AWA FUND	04/19/2005	66	10.00%	\$0.00	\$0.00	\$689.94	\$689.94	\$68.99	\$68.99	erec ⁄it
	777 00563	JOHN E CONELIAS	08/11/2005	66	10.00%	\$0.00	\$0.00	\$1,299.33	\$1,299.33	\$129.93	\$129.93	Ρζ
	777 00580	JOHN BLATZ	09/07/2005	66	10.00%	\$0.00	\$0.00	\$680.02	\$680.02	\$68.00	\$68.00	
	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	2	30.00%	\$0.00	\$0.00	\$341.21	\$341.21	\$102.36	\$102.36	7 of
	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	2	30.00%	\$0.00	\$0.00	\$929.56	\$929.56	\$278.87	\$278.87	55
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	66	10.00%	\$0.00	\$0.00	\$422.11	\$422.11	\$42.21	\$42.21	∠ 1
	777 20016	PAUL M GAGLIARDI	02/10/2004	66	10.00%	\$0.00	\$0.00	\$651.50	\$651.50	\$65.15	\$65.15	/:53
	777 53486	FRANK R MATTHEWS	10/02/2003	66	10.00%	\$0.00	\$0.00	\$466.93	\$466.93	\$46.69	\$46.69) [
			Reg R	Reg Rep Total:	tal:	\$0.00	\$0.00	\$7,304.95	\$7,304.95	\$984.64	\$984.64	=xn
881	M KAMINSKY INY	SKY INY										וטונ
	521 01163	F/B/O EDDIE SITT	08/29/2005	66	10.00%	\$0.00	\$0.00	\$489.22	\$489.22	\$48.92	\$48.92	
			Reg R	Reg Rep Total:	tal:	\$0.00	\$0.00	\$489.22	\$489.22	\$48.92	\$48.92	

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	12/06/2006
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Compensation Report

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08-13555-mg

Month End: Nov-2006

555-mg	Doc Exh			'-2 part			11/: Dicc				nter lavit		11/2 Pg 3	9/11 8 of	. 11:2 55	7:53	Exhibit
Total Payout		\$237.21	\$141.83	\$46.90	\$425.94		\$1,796.02	\$1,329.84	\$3,125.86		\$40.04	\$282.66	\$322.70	\$25,164.87			
PO Fee Amt		\$237.21	\$141.83	\$46.90	\$425.94		\$1,796.02	\$1,329.84	\$3,125.86		\$40.04	\$282.66	\$322.70	\$25,164.87			
Monthly Fee		\$790.71	\$472.78	\$156.32	\$1,419.81		\$17,960.19	\$4,432.81	\$22,393.00		\$400.38	\$2,826.64	\$3,227.02	142,283.37			
Quarterly Fee		\$790.71	\$472.78	\$156.32	\$1,419.81		\$17,960.19	\$4,432.81	\$22,393.00		\$400.38	\$2,826.64	\$3,227.02	\$142,283.37 \$142,283.37			
Total PO Comm		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00			
Total P Comm		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00			
Pct Payout		30.00%	30.00%	30.00%	otal:		10.00%	30.00%	otal:		10.00%	10.00%	otal:				
Hie H		2	2	5	Rep Total:		66	6	Rep Total:		66	66	Rep Total:	ä			
Start Date		06/23/2006	06/23/2006	06/23/2006	Reg		01/19/2005	01/25/2006	Reg		07/01/2004	07/01/2004	Reg	Marketer Total:			
Account Name	BOLTON	WMBRH-KENNEDY DUNCAN FUND	WMBRH-STURGIS FUND	WMBRH-HOME HEALTH EDUCATION 06/23/2006		B73 INTERNATIONAL EQUITY / NEW YOR	CITY OF BRISTOL GENERAL	MILFORD HOSPITAL PENSION PLAN 01/25/2006		PAMAS MUTUAL FUNDS / NEW YOR!	WHITNEY CENTER INC ESCROW	WHITNEY CENTER INVESTMENT					
Marketer Reg Customer Name Rep Account	DICCIANNI, CHARLES A12 JEFFREY BOLTON	550 02168 V	550 02175 V	550 02176 V		B73 INTERNAT	777 00378 C	777 00683 M		193 PAMAS M	777 00019 W	777 00212 W					

COMPENSATION REPORT CHARLES DICCIANNI December-06

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION

22,095.68

22,095.68

19,434.18 2,661.50

TOTAL

EARNINGS CODE/RSU BREAKDOWN:

CASH - CNB

RSU-CN5

K:\FIN\RRF-Commission\M Musico\EXCEL FILES\INDIVIDUAL ASSET MGMT\2004 IAM\03-2004 IAM\03-2004 IAM GOAL.xis

08-13555-mg Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit A (part 2) to Diccianni Affidavit Pg 40 of 55 2007 RSU Statements

Employee Name	Prod Month	
Diccianni, Charles		
Diccianni,Charles Total	12/1/2006	2,661.50 2,661.50

							N N	onth End	Month End : Dec-2006	(0)	08-1355
Marketer Reg Customer Account Name Rep Account Name		Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	5-mg
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK	3K									-2111	oc Exhi
777 00874 CITY OF STAMFORD FIREMEN	FORD FIREMEN	05/01/2003	66	10.00%	\$0.00	\$0.00	\$15,657.57	\$15,657.57	\$1,565.76	\$1,565.76	
─ 777 00898 CITY OF BRISTOL EMPLOYEE	OL EMPLOYEE	07/01/2006	2	30.00%	\$0.00	\$0.00	\$11,058.74	\$11,058.74	\$3,317.62	٧,	717
		Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$26,716.31	\$26,716.31	\$4,883.38	883.38 883.38	
251 FIXED INCOME/NY										2)	
- 551 13224 SAMUEL S BERGAMI JR &	GAMI JR &	09/30/2001	66	10.00%	\$0.00	\$0.00	\$1,699.63	\$1,699.63	\$169.96	\$169.96	led
777 00417 MERIDEN CITY EMPLOYEES	EMPLOYEES	02/28/2005	66	10.00%	\$0.00	\$0.00	\$709.86	\$709.86	\$70.99	\$70.99	
777 00417 MERIDEN CITY EMPLOYEES	EMPLOYEES		×		\$0.00	\$0.00	\$0.00	\$0.00	\$300.00	eian 8.00 8.00 8.00 8.00	
777 00418 MERIDEN POLICE & FIRE	CE & FIRE	02/28/2005	66	10.00%	\$0.00	\$0.00	\$867.66	\$867.66	\$86.77	\$86.77	11 ni
777 00418 MERIDEN POLICE & FIRE	CE & FIRE		×		\$0.00	\$0.00	\$0.00	\$0.00	\$465.00	\$465.00	E
777 00685 MILFORD HOSPI	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	5	20.00%	\$0.00	\$0.00	\$3,684.82	\$3,684.82	\$736.96	\$736.96	:nte dav
777 00924 MILFORD BANK PENSION PLAN	PENSION PLAN	08/01/2006	5	20.00%	\$0.00	\$0.00	\$429.13	\$429.13	\$85.83	\$85.83	rec
-777 11009 STAMFORD FIRE	STAMFORD FIREFIGHTERS PENSIO 05/01/2003	05/01/2003	66	7.50%	\$0.00	\$0.00	\$6,755.85	\$6,755.85	\$506.69	Pg 69.905\$	111
		Reg Re	Rep Total:	tal:	\$0.00	\$0.00	\$14,146.95	\$14,146.95	\$2,422.20	\$2,422.20	
288 APPEL NEW YORK										UI :	
550 01688 MILFORD HOSPITAL MID CAP		01/01/2006	2	30.00%	\$0.00	\$0.00	\$2,113.40	\$2,113.40	\$634.02	\$634.02	
		06/23/2006	5	30.00%	\$0.00	\$0.00	\$259.20	\$259.20	\$77.76	\$77.76	27:
	CITY OF MILFORD PENSION FUND	07/01/2004	66	10.00%	\$0.00	\$0.00	\$13,291.76	\$13,291.76	\$1,329.18	\$1,329.18	53
777 00224 CITY OF BRISTOL	CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004	07/27/2004	66	10.00%	\$0.00	\$0.00	\$36,545.21	\$36,545.21	\$3,654.52	\$3,654.52	E
		01/19/2005	66	10.00%	\$0.00	\$0.00	\$344.86	\$344.86	\$34.49	\$34.49	Exh
	ES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$344.36	\$344.36	\$34.44	\$34.44	ibit
	MILFORD HOSPITAL PENSION PLAN 01/31/2006	01/31/2006	2	30.00%	\$0.00	\$0.00	\$9,239.14	\$9,239.14	\$2,771.74	\$2,771.74	
	MILFORD HOSPITAL PENSION PLAN 02/03/2006	32/03/2006	2	30.00%	\$0.00	\$0.00	\$2,363.51	\$2,363.51	\$709.05	\$709.05	
777 00923 MILFORD BANK PENSION PLAN		08/21/2006	2	30.00%	\$0.00	\$0.00	\$847.79	\$847.79	\$254.34	\$254.34	
		ď	Page 1 of 3	ıf 3				Run Date:	e: 01/12/2007	200	

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Compensation Report

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Marketer Reg Customer Name Rep Account	ier Account t Name	Start Date	Tier	Pct Payout	Total Po	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	555-m(
DICCIANNI, CHARLES											g l
288 APPEL	APPEL NEW YORK										Doc Exh
777 00925	MILFORD BANK PENSION PLAN	08/01/2006	5	30.00%	\$0.00	\$0.00	\$427.29	\$427.29	\$128.19	\$128.19	
777 00926	MILFORD BANK PENSION PLAN	08/01/2006	6	30.00%	\$0.00	\$0.00	\$1,287.66	\$1,287.66	\$386.30	\$386.30	
777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	66	10.00%	\$0.00	\$0.00	\$398.60	\$398.60	\$39.86		7-2 (pa
777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	66	10.00%	\$0.00	\$0.00	\$399.01	\$399.01	\$39.90		
777 53485	FRANK R MATTHEWS	10/03/2003	8	10.00%	\$0.00	\$0.00	\$423.95	\$423.95	\$42.40	,	iled to
		Reg R	Rep Total:	otal:	\$0.00	\$0.00	\$68,285.74	\$68,285.74	\$10,136.19	\$10,136.19	l 11 Dic
390 STEINI	STEIN MICHELLE NY										
777 00362	JBC ASSOCIATES LIMITED	01/20/2005	66	10.00%	\$0.00	\$0.00	\$349.57	\$349.57	\$34.96	\$34.96)/11 nni
777 00367	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$351.15	\$351.15	\$35.12		
777 00414	CATHRYN M HOLLINGER	02/28/2005	66	10.00%	\$0.00	\$0.00	\$486.04	\$486.04	\$48.60		Ent∈ ïda
777 00445	BARBARA R GOODMAN MARITAL TF 04/05/2005	04/05/2005	66	10.00%	\$0.00	\$0.00	\$144.74	\$144.74	\$14.47		
777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	66	10.00%	\$0.00	\$0.00	\$492.85	\$492.85	\$49.29		d 1:
777 00470	AWA FUND	04/19/2005	66	10.00%	\$0.00	\$0.00	\$689.94	\$689.94	\$68.99	_	-
777 00563	JOHN E CONELIAS	08/11/2005	66	10.00%	\$0.00	\$0.00	\$1,299.33	\$1,299.33	\$129.93		9/1 2 o
777 00580	JOHN BLATZ	09/07/2005	66	10.00%	\$0.00	\$0.00	\$680.02	\$680.02	\$68.00		1 1 f 55
777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	66	10.00%	\$0.00	\$0.00	\$341.21	\$341.21	\$34.12		
777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	66	10.00%	\$0.00	\$0.00	\$929.56	\$929.56	\$92.96	\$92.96	7:5
777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	66	10.00%	\$0.00	\$0.00	\$422.11	\$422.11	\$42.21	\$42.21	3
777 20016	PAUL M GAGLIARDI	02/10/2004	66	10.00%	\$0.00	\$0.00	\$651.50	\$651.50	\$65.15	\$65.15	Ex
777 53486	FRANK R MATTHEWS	10/02/2003	66	10.00%	\$0.00	\$0.00	\$466.93	\$466.93	\$46.69	\$46.69	hibi
		Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$7,304.95	\$7,304.95	\$730.49	\$730.49	t

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	08-135	55-mg	D E	oc Exh	227 ibit <i>i</i>	717 A (r	-2 oart	Fil 2)	ed to D	11/2 Dicc	29/1 ian	L1 ni <i>F</i>	Er Affid	ntere avit	ed í	11/2 Pg 4	29/1 13 c	.1 1 of 55	1:27	':53	Exhibit
IAN	91	Total Payout			\$48.92	\$48.92		\$237.21	\$141.83	\$46.90	\$425.94		\$1,796.02	\$1,329.84	\$3,125.86		\$40.04	\$282.66	\$322.70	\$22,095.68	
ER BERMAN	Month End : Dec-2006	PO Fee Amt			\$48.92	\$48.92		\$237.21	\$141.83	\$46.90	\$425.94		\$1,796.02	\$1,329.84	\$3,125.86		\$40.04	\$282.66	\$322.70	\$22,095.68	
NEUBERGER	onth End	Monthly Fee			\$489.22	\$489.22		\$790.71	\$472.78	\$156.32	\$1,419.81		\$17,960.19	\$4,432.81	\$22,393.00		\$400.38	\$2,826.64	\$3,227.02	143,983.00	
Z	Mo	Quarterly Fee			\$489.22	\$489.22		\$790.71	\$472.78	\$156.32	\$1,419.81		\$17,960.19	\$4,432.81	\$22,393.00		\$400.38	\$2,826.64	\$3,227.02	\$143,983.00 \$143,983.00	
		PO Comm Amt			\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	
됩		Total F Comm			\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	
nsation Report		Pct Payout			10.00%	otal:		30.00%	30.00%	30.00%	otal:		10.00%	30.00%	otal:		10.00%	10.00%	otal:		
ensat		Tier			66	g Rep Total:		5	2	5	Rep Total:		66	2	Reg Rep Total:		66	66	Reg Rep Total:	-	
Compe		Start Date			08/29/2005	Reg		06/23/2006	06/23/2006	06/23/2006	Reg R		01/19/2005	01/25/2006	Reg		07/01/2004	07/01/2004	Reg	Marketer Total	
OIL		Marketer Reg Customer Account Name Rep Account Name	DICCIANNI, CHARLES	881 M KAMINSKY /NY	521 01163 F/B/O EDDIE SITT		A12 JEFFREY BOLTON	550 02168 WMBRH-KENNEDY DUNCAN FUND	WMBRH-STURGIS FUND	550 02176 WMBRH-HOME HEALTH EDUCATIO? 06/23/2006		B73 INTERNATIONAL EQUITY / NEW YOR	777 00378 CITY OF BRISTOL GENERAL	777 00683 MILFORD HOSPITAL PENSION PLAN 01/25/2006		193 PAMAS MUTUAL FUNDS / NEW YOR!		777 00212 WHITNEY CENTER INVESTMENT			
PA12		Markete Name	DICCI																		

COMPENSATION REPORT CHARLES DICCIANNI January-07

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION

19,935.60

19,935.60

851.39 19,084.21

EARNINGS CODE/RSU BREAKDOWN:

TOTAL

CASH-CNB RSU-CN5

K:\FIN\RRF-Commission\M Musico\EXCEL FILES\INDIVIDUAL ASSET MGMT\2004 IAM\03-2004 IAM\03-2004 IAM GOAL.xis

Employee Name	Prod Month	
Diccianni, Charles		
	12/1/2006	2,661.50
	1/31/2007	851.39
Diccianni, Charles Tota	al	3,512.89

288

NEUBERGER BERMAN

Compensation Report

PA12

223

Name

\$56.45	\$40.44	\$975.30	700
\$56.45	\$40.44	\$975.30	7006/06/60

Run Date.

Compensation Report

BERMAN

NEUBERGER

08-13555-mg

Month End: Jan-2007

Total Total Payout		Exh	ibit \$354.51	A (par par	1	to 173.53		ciar					D. 57.928			55		£180 08	\$159.40	\$36.47	\$56.45	\$40.44	
PO Fee Amt			\$324.51	\$33.96	\$34.10	\$35.81	\$9.173.53		\$30.21	\$30.46	\$41.87	\$9.94	\$42.73	\$59.73	\$111.13	\$58.35	\$29.22	\$79.85	\$189.08	\$159.40	\$36.47	\$56.45	\$40.44	
Monthly Fee			\$1,081.70	\$339.62	\$340.97	\$358.08	\$52,030.13		\$302.10	\$304.64	\$418.70	\$99.06	\$427.28	\$597.26	\$1,111.33	\$583.49	\$292.20	\$798.48	\$630.27	\$531.35	\$364.73	\$564.50	\$404.43	
Quarterly Fee			\$1,081.70	\$339.62	\$340.97	\$358.08	\$52,030.13		\$302.10	\$304.64	\$418.70	\$99.06	\$427.28	\$597.26	\$1,111.33	\$583.49	\$292.20	\$798.48	\$630.27	\$531.35	\$364.73	\$564.50	\$404.43	
PO Comm Amt			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
Total P Comm			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
Pct Payout			30.00%	10.00%	10.00%	10.00%	otal:		10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	30.00%	30.00%	10.00%	10.00%	10.00%	
Tier			2	66	66	66	Rep Total:		6	66	66	66	66	66	66	66	66	66	5	5	66	66	66	
Start Date			08/01/2006	02/06/2004	02/12/2004	10/03/2003	Reg		01/20/2005	01/19/2005	02/28/2005	04/05/2005	04/05/2005	04/19/2005	08/11/2005	09/07/2005	11/22/2005	11/22/2005	10/10/2006	10/10/2006	02/05/2004	02/10/2004	10/02/2003	
Account Name		APPEL NEW YORK	MILFORD BANK PENSION PLAN	F/B/O STEPHEN P CHERNOCK JR	PAUL M GAGLIARDI REVOC TRUST	FRANK R MATTHEWS		STEIN MICHELLE NY	JBC ASSOCIATES LIMITED	CSC ASSOCIATES LIMITED	CATHRYN M HOLLINGER	BARBARA R GOODMAN MARITAL TF 04/05/2005	BARBARA R GOODMAN CREDIT	AWA FUND	JOHN E CONELIAS		MASTER PEN PLAN FOR HOURLY	MASTER PEN PLAN FOR SALARIED	JEFFREY T CAMMANS	JEFFREY T CAMMANS	F/B/O STEPHEN P CHERNOCK JR (PAUL M GAGLIARDI	FRANK R MATTHEWS	
Marketer Reg Customer Name Rep Account	CHARLES		777 00926	777 20014	777 20017	777 53485			777 00362	777 00367	777 00414 (777 00445	777 00446 E	777 00470	777 00563		777 00645 N	777 00646 N	777 01184	777 01184	777 20015 F		777 53486 F	
Marketer Re	DICCIANNI, CHARLES	288						390																

							Mc	onth End	Month End : Jan-2007	7	08-1355
er Reg Customer Rep Account	r Account	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	5-mg
INNI, CHARLES				*							
881 M KAMII	M KAMINSKY /NY										
521 01163	F/B/O EDDIE SITT	08/29/2005	66	10.00%	\$0.00	\$0.00	\$416.77	\$416.77	\$41.68	\$41.68	227 ibit .
		Reg	Reg Rep Total:	otal:	\$0.00	\$0.00	\$416.77	\$416.77	\$41.68	\$41.68	717- A (p
A12 JEFFRE	JEFFREY BOLTON										-2 oart
550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	2	30.00%	\$0.00	\$0.00	\$681.66	\$681.66	\$204.50	\$204.50	File: 2) t
550 02175	WMBRH-STURGIS FUND	06/23/2006	6	30.00%	\$0.00	\$0.00	\$405.87	\$405.87	\$121.76	\$121.76	ed :
550 02176	WMBRH-HOME HEALTH EDUCATION 06/23/2006	06/23/2006	5	30.00%	\$0.00	\$0.00	\$134.14	\$134.14	\$40.24	\$40.24	11/2 Dicc
		Reg Re	Rep Total:	tal:	\$0.00	\$0.00	\$1,221.67	\$1,221.67	\$366.50	\$366.50	29/1 ian
B73 INTERNA	B73 INTERNATIONAL EQUITY / NEW YOR										L1 ni <i>F</i>
777 00378	CITY OF BRISTOL GENERAL	01/19/2005	66	10.00%	\$0.00	\$0.00	\$16,182.26	\$16,182.26	\$1,618.23	\$1,618.23	Er Affid
777 00683	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	20	30.00%	\$0.00	\$0.00		\$3,999.84	\$1,199.95	\$1,199.95	ntere lavit
		Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$20,182.10	\$20,182.10	\$2,818.18	\$2,818.18	ed 1 F
193 PAMAS	MUTUAL FUNDS / NEW YOR!										L1/2 Pg 4
777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	66	10.00%	\$0.00	\$0.00	\$359.84	\$359.84	\$35.98	\$35.98	29/1 18 o
777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	66	10.00%	\$0.00	\$0.00	\$2,300.73	\$2,300.73	\$230.07	\$230.07	.1 1 of 55
		Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$2,660.57	\$2,660.57	\$266.05	\$266.05	1:27
		Marketer Total:			\$0.00	\$0.00	\$121,223.55 \$121,223.55	121,223.55	\$19,935.60	\$19,935.60	':53
											Exhib
											it
		ď.	Page 3 of 3	of 3				Run Date:	e: 02/20/2007	700	

DICCIANNI, CHARLES

Marketer Reg Customer Name Rep Account

NEUBERGER BERMAN

Compensation Report

NEUBERGER BERMAN

COMPENSATION REPORT CHARLES DICCIANNI February-07

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION

25,164.87

25,164.87

23,411.43 1,753.44

EARNINGS CODE/RSU BREAKDOWN:

TOTAL

CASH - CNB RSU-CN5 K:\FIN\RRF-Commission\M Musico\EXCEL FILES\INDIVIDUAL ASSET MGMT\2004 IAM\03-2004 IAM\03-2004 IAM GOAL.xls

Employee Name	Prod Month	
Diccianni, Charles	A STATE OF THE PARTY OF THE PAR	
	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
Diccianni, Charles Tota	ıl	5,266.33
Diccianni, Charles 10ta		5,266.3

20,877.04 1,379.00

CASH - CNB RSU-CN5

NEUBERGER BERMAN

COMPENSATION REPORT CHARLES DICCIANNI March-07

PAYOUT COMPUTATION	
TOTAL PRODUCTION COMPENSATION	67,356.51
Reversal of January Compensation	(19,935.60)
Reversal of February Compensation	(25,164.87)
TOTAL	22,256.04
EARNINGS CODE/RSU BREAKDOWN:	

Employee Name	Prod Month	
Diccianni,Charles		
	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
	3/31/2007	1,379.00
Diccianni, Charles Total		6,645.33

							M	onth End	Month End : Mar-2007	2	08-1355
Marketer Reg Customer Name Rep Account	Account Name	Start Date	Tier	Pct Payout	Total P Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	5-mg
DICCIANNI, CHARLES											
288 APPEL NE	APPEL NEW YORK										oc Exh
777 00365	JBC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$1,108.71	\$1,108.71	\$110.87	\$110.87	
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	80.00		
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$1,107.21	\$1,107.21	\$110.72		7-2 par
1 08900 121	MILFORD HOSPITAL PENSION PLAN 01/31/2006	01/31/2006	66	10.00%	\$0.00	\$0.00	\$30,051.51	\$30.051.51	\$3.005.15		
777 00682	MILFORD HOSPITAL PENSION PLAN 02/03/2006	02/03/2006	8	10.00%	\$0.00	\$0.00	\$7,429.66	\$7.429.66	\$742.97		iled to
777 00923	MILFORD BANK PENSION PLAN	08/21/2006	5	30.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
777 00923 N	MILFORD BANK PENSION PLAN	08/21/2006	2	30.00%	\$0.00	\$0.00	\$2,618.15	\$2,618.15	\$785.44		
777 00925 N	MILFORD BANK PENSION PLAN	08/01/2006	6	30.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
777 00925 N	MILFORD BANK PENSION PLAN	08/01/2006	٤	30.00%	\$0.00	\$0.00	\$1,353.90	\$1,353.90	\$406.17		
777 00926 N	MILFORD BANK PENSION PLAN	08/01/2006	5	30.00%	\$0.00	\$0.00	\$0.00	20.00	\$0.00		En
777 00926 N	MILFORD BANK PENSION PLAN	08/01/2006	۶	30.00%	\$0.00	\$0.00	\$4,056.35	\$4.056.35	\$1,216.90		
777 20014 F	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	66	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		ed 1
777 20014 F	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	66	10.00%	\$0.00	\$0.00	\$1,273.58	\$1.273.58	\$127.36		.1/2 Pa 5
	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	66	10.00%	\$0.00	\$0.00	\$1,278.63	\$1,278.63	\$127.86		29/1 53 c
	FRANK R MATTHEWS	10/03/2003	66	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		.1 1 of 5!
777 53485 FI	FRANK R MATTHEWS	10/03/2003	66	10.00%	\$0.00	\$0.00	\$1,342.79	\$1,342.79	\$134.28		.1:2°
		Reg	Reg Rep Total:	<u> </u>	\$0.00	\$0.00	\$236,147.22 \$236,147.22		\$33,590.53	\$33,590,53	7:5
390 STEIN MIC	STEIN MICHELLE NY										3
	JBC ASSOCIATES LIMITED	01/20/2005	66	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	80.00	80.00	Ex
		01/20/2005	96	10.00%	\$0.00	\$0.00	\$1,131.85	\$1,131.85	\$113.19	\$113.19	hibi
	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	t
	Ω	01/19/2005	99	10.00%	\$0.00	\$0.00	\$1,141.16	\$1,141.16	\$114.12	\$114.12	
777 00414 C/	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,568.24	\$1,568.24	\$156.82	\$156.82	
		à	Page 2 of 4	f 4				Run Date:	: 04/24/2007	707	

NEUDERGER BERMAN

Compensation Report

Name Reg Catelonnet								W	onth End	Month End : Mar-2007	2	08-135
Particle National Martial Line Particle National Line Particle Na	Marketer Reg Custome Name Rep Account	4.1.	Start Date	Tier	Pct Payout		PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	55-mg
STEIN MICHELLE NY The Charles of the Charles o	DICCIANNI, CHARLES											j [
777 00445 BARBARA R GOODMAN MARTIALIT GANGSCORG 99 10.00%, \$0.00		MICHELLE NY										
777 00446 BARBBARA R GOODMAN MARITAL IT 040922005 99 10.00% \$0.00 \$0.00 \$0.71-50 \$0.00 \$0.71-50 \$0.00	777 00445		04/05/2005	66	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
The condition of the parameter R GOODMAN CREDIT Avigezone Sp. 10.00% Sp.00 S	777 00445	BARBARA R GOODMAN MARITAL TI	: 04/05/2005	66	10.00%	\$0.00	\$0.00	\$371.50	\$371.50	\$37.15		
777 00446 BARBARAR GOODMAN CREDIT 04/05/2005 99 10.00% 50.00 51.603.1 5	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	66	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
777 00450 AWA FUND AVIVA FUND QVI 192006 99 10.00% \$0.00	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	66	10.00%	\$0.00	\$0.00	\$1,603.12	\$1,603.12	\$160.31		
777 00563 JOHN ECONELIAS 084112035 94,165.55 54,165.55 54,165.55 54,165.55 54,165.55 54,165.55 54,165.56 50,00	777 00470	AWA FUND	04/19/2005	66	10.00%	\$0.00	\$0.00	\$2,237.49	\$2,237.49	\$223.75	•	
777 00569 JOHN BLATZ CORDINATION STATE OF THE PER PLAN FOR HOURLY 11,00056 99 10,000% \$0.000 \$0.000 \$21,185.76 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77 \$21,855.77	777 00563	JOHN E CONELIAS	08/11/2005	66	10.00%	\$0.00	\$0.00	\$4,165.55	\$4,165.55	\$416.56		
777 00645 MASTER PEN PLAN FOR HOLNELY 117222005 99 10.00% 80.00 80	777 00580	JOHN BLATZ	09/07/2005	66	10.00%	\$0.00	\$0.00	\$2,185.76	\$2,185.76	\$218.58		
777 00646 MASTER PEN PLAN FOR HOUNLY 11/22/2005 99 10.00% 80.00	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	66	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
777 00646 MASTER PEN PLAN FOR SALARIED 11/122/2005 99 10.00% 80.00	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	66	10.00%	\$0.00	\$0.00	\$1,096.89	\$1,096.89	\$109.69		
777 00046 MASTER PEN PLAN FOR SALARIED 11/22/2006 01 0.000% \$0.00 \$0.00 \$1.992.56 \$1.992.56 \$5.997.54 \$2.997.5 \$2.997.5 \$2.997.5 \$2.997.5 \$2.997.5 \$2.997.5 \$2.997.5 \$2.997.5 \$2.997.5 \$2.997.7 \$2.997.5 \$2.997.7	777 00646	MASTER PEN PLAN FOR SALARIED		66	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
777 01144 JEFREY I CAMMANS 10/10/2006 01 30.00% \$0.00 \$0.00 \$1.992.58 \$1.992.58 \$597.77 \$597.77 \$77015 FINO STEPHEN P CHENNOCK JR 02/05/2004 99 10.00% \$0.00	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	66	10.00%	\$0.00	\$0.00	\$2,997.54	\$2,997.54	\$299.75		
777 20015 FIBIO STEPHEN P CHERNOCK JR 02052004 99 10.00% \$0.00 \$0.	777 01184	JEFFREY T CAMMANS	10/10/2006	5	30.00%	\$0.00	\$0.00	\$1,992.58	\$1,992.58	\$597.77		ed :
777 20015 FIBIO STEPHEN P CHERNOCK JR 02/05/2004 99 10.00% \$0.00 \$1.367.72 \$1.367.72 \$136.77 \$	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	66	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		11/: 2a !
777 20016 PAUL M GAGLIARDI 02/10/2004 99 10.00% \$0.00 \$0.00 \$2,116.89 \$2,116.89 \$2,116.89 \$211.69 \$0 \$0.00 \$	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	66	10.00%	\$0.00	\$0.00	\$1,367.72	\$1,367.72	\$136.77		29/: 54 (
777 53486 FRANK RMATTHEWS 10/02/2003 99 10.00% \$0.00 \$	777 20016	PAUL M GAGLIARDI	02/10/2004	66	10.00%	\$0.00	\$0.00	\$2,116.89	\$2,116.89	\$211.69		11 : of 5
Reg Rep Total: \$0.00 \$0.00 \$1,516.62 \$1,516.62 \$151.66	777 53486	FRANK R MATTHEWS	10/02/2003	6	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		11:: 55
M KAMINSKY /NY \$0.00 \$0.00 \$25,492.91 \$2,947.81 \$2,947.81 \$2,947.81 521 01163 F/B/O EDDIE SITT 08/29/2005 99 10.00% \$0.00 \$1,560.61 \$1,560.61 \$156.06 \$156.06 JEFFREY BOLTON 550 02168 WMBRIH-KENNEDY DUNCAN FUND 06/23/2006 01 30.00% \$0.00 \$2,556.21 \$2,556.21 \$766.86 \$766.86	777 53486	FRANK R MATTHEWS	10/02/2003		10.00%	\$0.00	\$0.00	\$1,516.62	\$1,516.62	\$151.66	\$151.66	27:5
M KAMINSKY /NY SCAMINSKY /NY NY			Reg	Rep To	tal:	\$0.00	\$0.00		\$25,492.91	\$2,947.81	\$2,947.81	53
521 01163 F/B/O EDDIE SITT 08/29/2005 99 10.00% \$0.00 \$1,560.61 \$1,560.61 \$156.06		ASKY /NY										E
JEFFREY BOLTON \$0.00 \$1,560.61 \$1,560.61 \$156.06 \$156.06 550 02168 WMBRH-KENNEDY DUNCAN FUND 06/23/2006 01 30.00% \$0.00 \$2,556.21 \$2,556.21 \$766.86 \$766.86	521 01163	F/B/O EDDIE SITT	08/29/2005		10.00%	\$0.00	\$0.00	\$1,560.61	\$1,560.61	\$156.06	\$156.06	khibi
JEFFREY BOLTON 550 02168 WMBRH-KENNEDY DUNCAN FUND 06/23/2006 01 30.00% \$0.00 \$2,556.21 \$2,556.21 \$766.86 3 Page 3 of 4 Run Date: 04/24/2007			Reg	Rep To	tal:	\$0.00	\$0.00	\$1,560.61	\$1,560.61	\$156.06	\$156.06	it
WMBRH-KENNEDY DUNCAN FUND 06/23/2006 01 30.00% \$0.00 \$2,556.21 \$2,556.21 \$766.86 Page 3 of 4 Run Date: 04/24/2007		Y BOLTON										
e 3 of 4 Run Date:	550 02168		06/23/2006		30.00%	\$0.00	\$0.00	\$2,556.21	\$2,556.21	\$766.86	\$766.86	
			a.	age 3 c	of 4				Run Date		200	

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Compensation Report

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Month End: Mar-2007

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555	Total 6 Payout					oart	2)		oicc	ianı	ni A	Affid		Ρ	g 55	/11 11:2 of 55	27:53	Exhibi
	T Pay					\$1.374.36		\$6,068.36	\$1,498.65	\$7,567.01		(\$100.45)	(\$642.23)	(\$742.68)	\$67,356.50			
	PO Fee Amt			\$456.60	\$150.90	\$1,374.36		\$6,068.36	\$1,498.65	\$7,567.01		(\$100.45)	(\$642.23)	(\$742.68)	\$67,356.50			
	Monthly Fee			\$1,522.01	\$503.00	\$4,581.22		\$60,683.63	\$14,986.45	\$75,670.08		(\$1,004.51)	(\$6,422.28)	(\$7,426.79)	475,560.05			
	Quarterly Fee			\$1,522.01	\$503.00	\$4,581.22		\$60,683.63	\$14,986.45	\$75,670.08		(\$1,004.51) (\$1,004.51)	(\$6,422.28)	(\$7,426.79)	\$475,560.05 \$475,560.05			
	Total PO Comm			\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00			
	Total F			\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00			
	Pct Payout			30.00%	30.00%	otal:		10.00%	10.00%	otal:		10.00%	10.00%	tal:				
i	Tie.			5	6	g Rep Total:		66	66	Rep Total:		66	66	Reg Rep Total:	<u></u>			
	Start Date			06/23/2006	06/23/2006	Reg		01/19/2005	01/25/2006	Reg		07/01/2004	07/01/2004	Reg	Marketer Total			
	Account Name		BOLTON	WMBRH-STURGIS FUND	WMBRH-HOME HEALTH EDUCATIO? 06/23/2006		INTERNATIONAL EQUITY / NEW YOR	CITY OF BRISTOL GENERAL	MILFORD HOSPITAL PENSION PLAN 01/25/2006		PAMAS MUTUAL FUNDS / NEW YOR!		WHITNEY CENTER INVESTMENT					
arkotor Dog Customer	Name Rep Account	DICCIANNI, CHARLES	A12 JEFFREY BOLTON	550 02175	550 02176		B73 INTERNAT		777 00683 N		193 PAMAS M		777 00212 V					